State of California Department of Insurance

Life Producer Notification of Brokering Life Settlements

LIC 441-20N (Rev 03/1/2015)

Producer Licensing Bureau
P.O. Box 1139
Sacramento, CA 95812-1139
(800) 967-9331

Pursuant to Section 10113.2 (b)(1)(D)(ii) of the California Insurance Code

A life insurance producer who is duly licensed as a life agent for at least one year in California or as a licensed non-resident producer in California for one year shall be permitted to operate as a life settlement broker. Not later than 10 days from the first day of operating as a life settlement broker, the life insurance producer shall notify the commissioner that he or she is acting as a life settlement broker by submitting this form and a fee of $170.

1. Name: _____
   Last First Middle

2. Social Security Number: _____

3. Current Producer License Number: _____ (include seven-digit license number)

4. Applicant's Email Address: _____

Applicant's Certification:

I certify under penalty of perjury that I have read the foregoing application and know the contents thereof and that each statement there is made is full, true and correct. I also certify under penalty of perjury that I have reviewed California Insurance Code, section 10113.1 through 10113.3 and the Commissioner's Life Settlement Regulations, codified in Title 10, Section 2548.1 et seq. of the California Code of Regulations and thoroughly understand the business of life settlements and my obligations as a life settlement broker. I understand that pursuant to sections 10113.1(g)(2)(D) and 10113.2 (b) of the California Insurance Code, any false statement may subject my application to denial and may subject my license/s to suspension or revocation.

Applicant's signature: ► _____

_____ _____
City **Date**

All fees are filing fees and are not refundable, whether or not the application is acted upon.

Mail notification and fees to: Department of Insurance
P.O. Box 1139
Sacramento, CA 95812-1139