

## CALIFORNIA DEPARTMENT OF INSURANCE 2015 INSURER SUPPLIER DIVERSITY SURVEY

Mutual of America Life Insurance Company

Group / NAIC Code:

88668

Report Type:

Group / Company Name:

Individual

|    | Our company / group enters into contracts to procure g  | goods or services in California.                   |  |
|----|---|--|--|
|    |   |  |  |
| 1. | Does your company / group have a supplier diversity policy statement?   | YES  |  |
|    | A supplier diversity policy statement is any language that refers to the consupplier diversity, with the goal of procuring goods and services from div  |  |  |
|    | If yes, provide the supplier diversity policy statement below:  |  |  |
|    | Mutual of AmericaNon-Discrimination Supplier Selection PolicyMutual of America is committed to providing high quality products and services to our customers through suppliers who provide the best services at the best price. Mutual of America's Purchasing Department is responsible for the purchase of all the materials, equipment, furniture and services that are necessary for the daily operation of Mutual of America and its subsidiaries. The purchased items must be competitively priced, of good quality, and of sufficient quantity to meet operating demand. Suppliers selected must be financially sound and maintain consistent performance in meeting Mutual of America's product/service expectations to remain qualified for selection. The Purchasing Department must seek out and retain supplier sources whose competitive pricing and turnaround schedules meet Mutual of America's requirements as to economy and timeliness. The same expectations and standards will be applied consistently to all suppliers competing for Mutual of America's business. Purchases for over one thousand dollar are awarded after a competitive bidding process and are awarded to the suppliers who offer the best overall value. In the course of its supplier selection, Mutual of America does not discriminate on the basis of race, color, religion, sex, sexual orientation, gender, gender expression, age, national origin (ancestry), disability, marital status, military status or any other basis prohibited or protected by applicable law. |  |  |
|    | - Provide a list of all documents in which the statement can be found (i.e.   | website, co. / group report, board charter, etc.): |  |
|    | None  |  |  |
|    | - If the state is available online, provide link here:  |  |  |
|    | None  |  |  |
|    | If no, but will be creating one within the next 12 months, provide a timeli statement to be completed and any other details available at this time (i.e.  |  |  |
|    |   |  |  |
|    | If no, please explain why:  |  |  |
|    |   |  |  |
|    |   |  |  |
| 2. | Does your company / group have a supplier diversity program?  | NO   |  |

A supplier diversity program is any formal plan and / or structure that has been setup specifically for the company / group to implement its supplier diversity policy statements.

If yes, provide an overview of the program:

If no, but will be creating one within the next 12 months, provide a timeline for when your company / group expects the program to begin and any other details available at this time (i.e. program plan, program director, etc.):

If no, please explain why:

In accordance with the Company's Non-Discrimination Supplier Selection Policy described in the policy statement in item 1 above, Mutual of America does not take specific steps to encourage and seek minority, women, and disabled veteran-owned business enterprises to become potential suppliers.

| 3. | Does your company / group conduct outreach specifically to diverse businesses? <b>NO</b>  |  |  |  |
|----|---|--|--|--|
|    | If yes, respond to parts a, b, c, d, and e below.   |  |  |  |
|    | a. Share all company / group outreach and communication strategies and practices that are conducted specifically to diverse businesses. Specify what outreach is conducted specifically to California diverse businesses: |  |  |  |
|    |   |  |  |  |
|    | b. Share any outreach and communication strategies and practices about supplier diversity conducted internally to company / group employees:  |  |  |  |
|    |   |  |  |  |
|    | c. Check below all outreach and communication practices in which your company / group engages:  |  |  |  |
|    | <b>EVENT</b> – seminars, meet-and-greets, summits, etc.   |  |  |  |
|    | ☐ Host matchmaking  |  |  |  |
|    | ☐ Host supplier diversity events  |  |  |  |
|    | ☐ Internal Awards Program   |  |  |  |
|    | ☐ Participate in matchmaking  |  |  |  |
|    | ☐ Participate in supplier diversity events  |  |  |  |
|    | ☐ Sponsor matchmaking   |  |  |  |
|    | ☐ Sponsor supplier diversity events   |  |  |  |
|    | Share the name of an event you attended / hosted that has best guided and / or influenced growth of your Supplier Diversity efforts:  |  |  |  |
|    |   |  |  |  |
|    | <b>MEDIA</b> – advertising, announcements, interviews, webinars, etc.   |  |  |  |
|    | ☐ Traditional media (newspaper, television commercial, etc.)  |  |  |  |
|    | ☐ Ethnic media outlets  |  |  |  |
|    | ☐ Social media; please specify:   |  |  |  |
|    | ☐ Trade publications  |  |  |  |
|    | Share the name of a media group or outlet that has best guided and / or influenced growth of your Supplier Diversity efforts:   |  |  |  |
|    |   |  |  |  |
|    | <b>ORGANIZATIONS</b> – membership, affiliation, sponsorship, etc.   |  |  |  |
|    | ☐ Member of a certification agency  |  |  |  |
|    | ☐ Member of ethnic chamber of commerce  |  |  |  |
|    | ☐ Member/affiliate of other organization, please specify:   |  |  |  |
|    |   |  |  |  |
|    | Share the name of an organization that has best guided and / or influenced growth of your Supplier Diversity efforts:   |  |  |  |
|    |   |  |  |  |

|    | <b>PROGRAMS</b> – mentorship, training, locator, etc.   |
|----|---|
|    | ☐ Diverse supplier registration portal  |
|    | ☐ Manage and run a supplier diversity mentorship program (in-house)   |
|    | ☐ Participate in a supplier diversity mentorship program  |
|    | ☐ Subscriber to a supplier locator program (or 3rd party service that identifies diverse suppliers)   |
|    | ☐ Operate a website dedicated to a Supplier Diversity Program   |
|    |   |
|    | ☐ Technical assistance / training program for diverse suppliers   |
|    | Share the name of a program that has best guided and / or influenced growth of your Supplier Diversity efforts:   |
|    |   |
|    | d. Explain how, and to what extent, these strategies and practices have been successful in establishing relationships with diverse businesses:  |
|    |   |
| 4. | Does your co. / group require and/or encourage its primary suppliers to subcontract with diverse businesses? <b>NO</b> If yes:  |
|    | a. Do you track procurement spend with Tier 2 diverse suppliers? <b>N/A</b>   |
|    | b. Please explain:  |
|    |   |
| 5. | Does your co. / group set internal targets or goals in regards to its supplier diversity statement and / or program? <b>NO</b> If yes, please explain:  |
|    |   |
| 6. | Describe in detail any updates, progress, and / or best practices established by your company / group in the two years since the 2013 Insurer Supplier Diversity Survey was administered; include any awards or recognition received for Supplier Diversity work: |
|    | None  |
| 7. | Additional Comments:  |
|    |   |

8. Points of contact for the company's / group's supplier diversity operations for diverse business enterprises: PRIMARY CONTACT Contact Name: James F. Buckland Senior Vice President, Purchasing Title: Phone Number: 212-224-1034 Email Address: james.buckland@mutualofamerica.com Mailing Address 320 Park Avenue 2nd Fl., New York, NY 10022 SECONDARY CONTACT Contact Name: Carson J. Dunbar Title: Senior Vice President, Corporate Services Phone Number: 212-224-1992 Email Address: carson.dunbar@mutualofamerica.com Mailing Address 320 Park Avenue 5th Fl., New York, NY 10022 **GENERAL CONTACT** Contact Name: Title: Phone Number: Email Address:

Mailing Address