

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FOR CERTIFICATION

CDI-004 (Rev. 6/2015)

CALIFORNIA DEPARTMENT OF INSURANCE

California Organized Investment Network (COIN)

Date Received/Logged: _____

**Community Development Financial Institutions
Application for Certification**

| ORGANIZATION CONTACT AND BACKGROUND INFORMATION | | |
|--|--|-------------------------|
| Name of Organization: | Address (Street, City, State, Zip): | |
| Contact Person: | Title: | Phone: |
| | | Fax: |
| | | Email: |
| Stated Mission of Organization: | | |
| Geographic Service Area: | | |
| Organization Background: | | |
| Legal Status (i.e. sole proprietorship, Partnership, corp, etc): | Tax ID: | Profit Nonprofit |
| Is your organization a certified Community Development Financial Institution (CDFI) with the US Treasury? (If yes, please provide a copy of the Treasury Certification Letter.) Yes No | Is your organization a certified Community Development Entity (CDE) under the federal New Markets Tax Credit program? (If yes, please provide a copy of the Treasury Certification Letter.) Yes No | |

| CALIFORNIA CDFI CERTIFICATION Revenue & Taxation Code, Sections 12209(g)(1), 17053.57(f)(1), and 23657(f)(1) | | |
|--|-----|----|
| 1. Is your organization a private financial institution located in California? | Yes | No |
| 2. Is your organization's primary mission community development? | Yes | No |
| 3. Does your organization lend to urban, rural, or reservation based communities? | Yes | No |

| DOCUMENTS CHECKLIST | |
|---|---|
| Cover Letter | Marketing Materials |
| Mission Statement | California Statement by Domestic Nonprofit Corporation |
| Articles of Incorporation and bylaws | IRS 501(c)(3) Determination Letter |
| Organizational Chart and Narrative | Department of Treasury CDFI/CDE Certification Letter(s) |
| List of Board of Directors and Personal Histories | Regulatory Charter (for regulated financial institutions) |
| List of Key Staff and Personal Histories | Bank or Credit Union Rating by Regulator |
| Annual Report | Other Documents as Requested |
| Audited Financial Statements, last three years | |

COIN certification does not constitute an opinion by the COIN as to the overall effectiveness or financial viability of the CDFI