



**RICARDO LARA**  
CALIFORNIA INSURANCE COMMISSIONER

## **NOTICE OF YEAR-END REVIEW PROCEDURES**

**To: ALL ADMITTED INSURERS AND INTERESTED PERSONS**  
**Date: SEPTEMBER 1, 2023**  
**Subject: CORPORATE APPLICATIONS AND SIMILAR FILINGS REQUESTING  
CDI APPROVAL BY YEAR-END 2023**

Applicants seeking expedited review of corporate applications for completion by year-end 2023 must submit the applications no later than September 15, 2023, or, in the case of a Holding Company Act application, no later than October 13, 2023. Only perfected applications will be considered eligible for expedited review. A perfected application is one that is timely filed electronically via Oasis <https://interactive.web.insurance.ca.gov/OASIS/front> or submitted to [CAB-SF-Intake@insurance.ca.gov](mailto:CAB-SF-Intake@insurance.ca.gov) and that includes:

1. An application form, if a form is required for the filing;
2. Payment of the required fee;
3. All supporting documents, in proposed final form;
4. A cover letter explaining the transaction, requesting year-end treatment and indicating that completion by year end is necessary to remedy a financial urgency, address a California market need or to coordinate approval with other state regulators.

CDI will make every effort to complete by year-end the review of perfected applications that conform to these procedures. The volume of applications submitted may require that applications be prioritized, which may preclude year-end review of some perfected applications. Applications for a Certificate of Authority or an Organizational Permit will not be eligible for year-end completion.

Material deficiencies identified during the review of an application must be cured no later than October 20, 2023, or, in the case of a holding company application, no later than November 17, 2023, to remain eligible for year-end approval. The presence of significant legal or financial issues in an application may preclude eligibility for expedited review or year-end consent.

Questions regarding this Notice should be directed to the Corporate Affairs Bureau at (415) 538-4035.

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