

**DEPARTMENT OF INSURANCE**

ADMINISTRATION AND LICENSING SERVICES BRANCH  
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**BULLETIN NO.**           **2014-6**

**DATE:**                    December 1, 2014

**TO:**                        All Admitted Insurers and Other Interested Parties

**SUBJECT:**                Notification of Fee Increase

In accordance with Insurance Code Section 12978, we are providing notification that the California Department of Insurance is increasing fees by 10 percent for all insurance producers, bail agents, insurance adjusters, and insurers operating in the State of California effective March 1, 2015. As an example, an insurance agent or broker will see an increase of only \$16 for each biennial license or renewal, which amounts to just \$8 per year.

Pursuant to Insurance Code Section 12978, the Department has the responsibility to set fees that provide sufficient revenue to support the Department's budget and meet critical workload demands to protect California's insurance consumers as well as ensure the solvency of the Insurance Fund. The Department works diligently to create operational efficiencies and control costs while improving service levels; however, revenue has not kept pace with the cost of doing business. Expenditures in recent years, most outside of the Department's control, continue to outpace revenue growth. For example, recent employee compensation increases negotiated by the Governor and approved by the Legislature, and employer pension contributions adopted by CalPERS beginning July 1, 2014, significantly increase the Department's costs, a portion of which must be covered by Fees and Licenses. Therefore, this increase is necessary to ensure revenues are sufficient to support expenditures. As always, the Department will continue to monitor the Insurance Fund in order to ensure its financial integrity.

Attached is the new fee schedule for your reference. If you have any questions, please contact our Licensing Hotline at (800) 967-9331.

**PLEASE BE SURE THIS INFORMATION IS DISSEMINATED TO ALL YOUR APPOINTED AGENTS IN CALIFORNIA.**

A handwritten signature in black ink that reads "Erika Sperbeck".

ERIKA SPERBECK  
Deputy Commissioner  
Administration & Licensing Services Branch

Attachment

**STATE OF CALIFORNIA  
DEPARTMENT OF INSURANCE  
SCHEDULE OF FEES AND CHARGES  
EFFECTIVE DATE: MARCH 1, 2015**

INSURANCE CODE SECTION (Unless Otherwise Noted)	FEE CATEGORY	CURRENT FEE	NEW FEE
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**LICENSES AND PENALTIES  
INSURANCE ADJUSTER LICENSES**

14097(a)	Original license application	\$65.00	\$72.00
14097(b)	Original branch office certification	43.00	47.00
14097(c),(d)(1)	Original license & renewal of license	257.00	283.00
14097(c),(d)(2)	Branch office certificate renewal	51.00	56.00
14097(f)	Delinquency, 50% of renewal, not to exceed	65.00	72.00
14097(g)	Re-examination of applicant or applicants manager	26.00	29.00
14042	Additional fictitious business name or change	23.00	25.00
14042	License reissued in a fictitious business name	26.00	29.00
14085(e), 14097(a),(c),(d)(1),(f), 14042	Crop Insurance Adjuster	257.00	283.00
14034, 2691.23 of the CCR	Replace ID Card	23.00	25.00

**PUBLIC INSURANCE ADJUSTER LICENSE**

15016(a)(3)	Interim license	\$218.00	\$240.00
15060(a)	Original license application	56.00	62.00
15060(b)	Original branch office certification	32.00	35.00
15060(c),(d)(1)	Original license & renewal of license	218.00	240.00
15060(c),(d)(2)	Branch office certificate renewal	45.00	50.00
15060(f)	Delinquency, 50% of renewal, not to exceed	56.00	62.00
15060(g)	Reexamination of applicant	23.00	25.00
15031(e)	Additional fictitious business name or change	23.00	25.00
15022, 2691(b)(2) of the CCR, 2691.23 of the CCR	Replace ID Card	23.00	25.00

**BAIL LICENSE**

1811(a)	Agent, original filing	\$257.00	\$283.00
1811(e)	Agent, renewal filing	77.00	85.00
1811(b)	Solicitor, original filing	257.00	283.00
1811(f)	Solicitor, renewal filing	77.00	85.00
1811(c)	Permittee, original filing	515.00	567.00
1811(g)	Permittee, renewal filing	325.00	358.00
1808(b)	License renewal penalty fee	twice fee above	twice fee above
1811(i)	Approval of fictitious or other name	26.00	29.00
1811(j)	Replace bail bond	23.00	25.00
1811(k)	First amendment to application	13.00	14.00
1811(l)	Subsequent amendments	26.00	29.00

**AGENTS AND BROKERS INDIVIDUAL AND BUSINESS ENTITY LICENSE**

1750(a),(b),(c) & 1718(b)	Property and/or Casualty broker-agent license and renewal, per year	\$77.00	\$85.00
1751(c)	First amendment to application	13.00	14.00
1751(c)	Subsequent amendments	26.00	29.00
1626, 1750(e),(f) & 1718(b)	Life-only agent and/or Accident and Health agent license and renewal, per year	77.00	85.00
1750(e),(f) & 1718(b)	Life-Limited agent license and renewal, per year	77.00	85.00
1751(c)	First amendment to application	13.00	14.00
1751(c)	Subsequent amendments	26.00	29.00
1842(b)	Life and disability insurance analyst issuance or renewal	257.00	283.00
1751.3	Notice of appointment	26.00	29.00
1751.3	Notice of termination of appointment	26.00	29.00
1661 and 1751(b)	Filing fee for endorsing or terminating any natural person by the organization	26.00	29.00
1751(l)	Amended notice of appointment or termination	10.00	11.00
1751(i)	Ratification of agency appointments by surviving insurer	168.00	185.00
11101	Part-time Fraternal Agent	77.00	85.00

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1750(d)	Personal Lines Broker Agent license and renewal, per year	77.00	85.00
1750(i)	Variable contract, nonresident license and renewal, per year	77.00	85.00

**SURPLUS LINE BROKER AND/OR SPECIAL LINES SURPLUS LINE BROKER**

1765(d)	Surplus line broker licensee who only transacts on behalf of a surplus line broker business entity, license and renewal, per year	\$267.00	\$294.00
1765(d)	Surplus line broker licensee who does not solely transact on behalf of a surplus broker business entity, license and renewal, per year	535.00	589.00
1765(d)	Filing fee for endorsing or terminating any natural person by the organization, one time fee	26.00	29.00

**OTHER LICENSE**

1755(a)(3)	Limited Line Travel Insurance Agent license, per year	\$1,876.00	\$2,064.00
1625.55(b),(c) & 1750(d)	Limited Line Automobile Insurance Agent, license and renewal, per year	77.00	85.00
1751.1(a)	Certification as a prelicensing or continuing education provider	68.00	75.00
1751.1(b)	Renewal as a provider	68.00	75.00
1751.1(c)	Certification of a prelicensing course	68.00	75.00
1751.1(d)	Renewal of a prelicensing course	34.00	37.00
1751.1(e)	Certification of a continuing education course	34.00	37.00
1751.1(f)	Renewal of a continuing education course	13.00	14.00
1751(h)	Approval of fictitious or other name	48.00	53.00
1751(j)	Filing producers license bond	26.00	29.00
1751(k)	Clearance letters	26.00	29.00
1751(c)	First amendment to application	13.00	14.00
1751(c)	Subsequent amendments	26.00	29.00
1751(a)	To register change in partnership	same as 1 year license fee herein	same as 1 year license fee herein
1759.10	Administrator, per year	77.00	85.00
1750.5(a)	Non-resident fees under retaliatory provision where discrimination is involved	may be higher than listed herein	may be higher than listed herein
1751(g)	Certificate of convenience	32.00	35.00
1718(c)	License renewal delinquent fee	one year fee for respective license	one year fee for respective license
12280.2	Motor club agent	77.00	85.00
1751(c)	First amendment to application	13.00	14.00
1751(c)	Subsequent amendments	26.00	29.00
1757.2	Cargo Shipper's Agent, per year	26.00	29.00
1781.3(d)(2)	Reinsurance Intermediary-Broker	340.00	374.00
1758.62(a)(3)	Portable Electronics Insurance Agent	265.00	292.00
1758.92(a)(3)	Credit Insurance Agent	371.00	408.00
2192.5 (a) of the CCR	Credit Insurance Agent Endorsement Fee	37.00	41.00
2192.5 (b) of the CCR	Credit Insurance Agent Endorsement Renewal Fee	6.00	7.00
1758.7(d)	Self-Service Storage Agent	267.00	294.00
1758.7(c)(3)(C)	Self-Service Storage Agent late renewal fee	134.00	147.00
1758.81(a)(3)	Rental Car Agent	421.00	463.00
12418.1(c)	Title Marketing Representatives application	215.00	237.00
12418.1(c)	Title Marketing Representatives renewal	228.00	251.00
12418.3(c)	Title Marketing Representatives late renewal fee	53.00	58.00
12161 & 12815(a)(2)	Vehicle Service Contract Provider application	4,490.00	4,939.00
12162(c), 12166, & 12815(a)(2)	Vehicle Service Contract Provider renewal	770.00	847.00

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10113.2 (b)(1)	Life Settlement Broker application and renewal	155.00	171.00
10113.2 (b)(1)(D)(ii),(iii)	Life Producer Notice of Brokering Life Settlements; original notification and renewal	77.00	85.00

**LICENSE EXAMINATION**

1811(d)	Bail, first examination	\$51.00	\$56.00
1811(d)	Bail, first re-examination	51.00	56.00
1842(b)	Life and disability insurance analyst	128.00	141.00
1751(d),(e),(f)	Property and/or casualty, life agent first examination or re-examination	45.00	50.00
12973.5(b)	Miscellaneous application for exams	65.00	72.00

**FILING FOR BROKERS/AGENTS CERTIFICATE**

859(a)	Brokers (headquarters)	\$257.00	\$283.00
859(a)	Brokers (each additional location)	124.00	136.00
859(b), 825	Agents, Stock Agent	124.00	136.00
12973(a)	Certificate of license status printed form	20.00	22.00

**CERTIFICATE OF AUTHORITY**

10493(d)	Benefit and relief association (certification of exemption)	\$1,539.00	\$1,693.00
5051	County mutual fire insurer (declaration of intent to incorporate)	6,414.00	7,055.00
11401	Firefighters police officers, or peace officers benefit and relief associations	1,282.00	1,410.00
11090	Fraternal benefit society	6,414.00	7,055.00
11520.5	Grants and annuities society	3,848.00	4,233.00
12161	Motor club	4,490.00	4,939.00
705.1	Mutual insurer	3,848.00	4,233.00
705.1	Reciprocal insurer	3,848.00	4,233.00
705.1	Stock insurer	3,848.00	4,233.00
10113.2(b)(1)	Life Settlement Provider original application	3,848.00	4,233.00
742.39	Multiple employer welfare arrangement (MEWA) certificate of compliance application	4,754.00	5,229.00
12105	Certificate of authority for financial guaranty insurance	6,793.00	7,472.00
717.5(f)	Professional reinsurance qualification fee (effective January 1, 2013)	3,025.00	3,328.00

**AMENDED CERTIFICATE OF AUTHORITY**

705	For each amendment to certificate of authority	\$124.00	\$136.00
709.5(d)	Redomestication application to or from California	5,773.00	6,350.00
12105	Amended certificate of authority for financial guaranty insurance	6,793.00	7,472.00
11520.5(d)(1)	Grants and annuities society name change	257.00	283.00

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**LICENSE**

705.1 & 12743	Home protection	\$3,848.00	\$4,233.00
12389(a)(3)	Underwritten title company	772.00	849.00
11751	Workers compensation rating organization license	204.00	224.00

**AMENDED LICENSES**

12389(a)(3)	Underwritten title company license each additional county	\$449.00	\$494.00
12389(d)	Underwritten title company name change	257.00	283.00
705 & 12743	Home protection name change	124.00	136.00

**NAME APPROVAL/CHANGE**

881	All except grants and annuities societies, and joint underwriters	\$124.00	\$136.00
882	Joint underwriters name approval	65.00	72.00
884	Joint underwriters name renewal	23.00	25.00

**WITHDRAWAL OF CERTIFICATE OF AUTHORITY HOLDER**

1076	County mutual fire insurer, fraternal benefit society, mutual, stock, reciprocal	\$1,282.00	\$1,410.00
11520.5(d)(3)	Grants and annuities society	772.00	849.00

**AMENDMENTS TO ARTICLES OF INCORPORATION**

714	All except grants and annuities and motor clubs	\$65.00	\$72.00
11520.5(d)(2)	Grants and annuities societies	193.00	212.00
12168	Motor clubs (amendments, if any, to be filed annually)	45.00	50.00

**AMENDMENTS TO BY-LAWS**

12168	Motor club (amendments, if any, to be filed annually)	\$45.00	\$50.00
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**RENEWAL FEE CERTIFICATES OF AUTHORITY/LICENSES**

705	County mutual fire insurer	\$385.00	\$424.00
11090	Fraternal benefit society	385.00	424.00
11520.5	Grants and annuities society	124.00	136.00
705	Mutual insurer	385.00	424.00
12162(c)	Motor club	385.00	424.00
12166	Motor club	186.00	205.00
705	Stock insurer, including title insurer	385.00	424.00
12416	Title insurer (additional fee)	898.00	988.00
12416	Underwritten title company less than 50,000 documents filed	257.00	283.00
12416	Underwritten title company over 50,000 but less than 100,000 documents filed	327.00	360.00
12416	Underwritten title company over 100,000 documents filed	515.00	567.00
10113.2(b)(1)	Life Settlement Provider annual license renewal fee	241.00	265.00
742.39	MEWA certificate of compliance renewal	385.00	424.00
11751.25	Workers compensation rating organization annual license fee	136.00	150.00

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**ANNUAL STATEMENTS AND OTHER REPORTS**

900.5 & 10970	Filing annual statement (except fraternal benefit society)	\$382.00	\$420.00
12389(a)(4)	Filing audit report (underwritten title companies)	682.00	750.00
12389(a)(4)	Failure to file audit report on time (underwritten title companies)	257.00	283.00

**LATE FILING FEES**

924 & 10970	Late filing fee (except fraternal benefit society)	\$641.00	\$705.00
924	Additional late filing fee after first month (per month)	772.00	849.00

**MERGER, REINSURANCE, ASSUMPTION, CONSOLIDATION and TRANSFORMATION**

1011.5	All insurers	\$5,773.00	\$6,350.00
11019	Domestic/fraternal benefit society	351.00	386.00
9098	Commissioners consent to reinsurance by fraternal fire insurer	449.00	494.00
7045	Application for approval to transform county mutual fire insurer to general mutual insurer	449.00	494.00
1215.2(e)	Application for holding company tender offers	5,129.00	5,642.00
1215.5(b)	Prior approval-affiliate transaction of	2,566.00	2,823.00
1091	Filing fee for retiring insurer	1,631.00	1,794.00
4093	Mutual insurer merger approval	5,773.00	6,350.00
		7.00 for each signed and sealed or certified copy	8.00 for each signed and sealed or certified copy
7042	Issuance of certificate approving transformation of County mutual fire insurer	281.00 - 8.00 for each signed and sealed or certified copy	309.00 - 9.00 for each signed and sealed or certified copy

**HOLDING COMPANIES**

1215.5(b)(1)	Holding company sales transactions	\$2,566.00	\$2,823.00
1215.5(b)(2)	Holding company loan transactions	2,566.00	2,823.00
1215.5(b)(3)	Holding company reinsurance agreements	2,566.00	2,823.00
1215.5(b)(4)	Holding company management agreements	2,566.00	2,823.00
1215.5(b)(5)	Holding company guarantees	2,566.00	2,823.00
1215.5(b)(6)	Holding company derivative transaction	2,566.00	2,823.00
1215.5(b)(7)	Holding company investments	2,566.00	2,823.00
1215.5(b)(8)	Holding company materials transactions	2,566.00	2,823.00

**VARIABLE LIFE AND ANNUITIES**

10506.1	Determination of qualification foreign insurer	\$2,566.00	\$2,823.00
10506.1	Determination of qualification domestic insurer	772.00 plus admin cost in excess of 772.00	849.00 plus admin cost in excess of 849.00
10506.2(a)	Changes to an application and qualifications	2,566.00	2,823.00
10506.2(b)	Variable life review, examination and analysis of documents	660.00	726.00
10506.2(c)	Variable life and annuities-transfer of assets	3,289.00	3,618.00

**NONASSESSABLE POLICIES**

4060	Certificate for domestic mutual	\$257.00	\$283.00
7015.5	Certificate or amendment for county mutual fire company or amended application	161.00	177.00
1401.5(a)	Perpetual certificate for reciprocal	515.00	567.00

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**STOCK PERMITS**

857(a)	Original, supplemental or amended application for permit to issue securities	\$3,848.00	\$4,233.00
857(b)	Application for permit to issue securities for change in rights, preferences, privileges or restrictions	515.00	567.00
857(c)	Application for permit to issue securities, evidencing share dividend or share split	1,282.00	1,410.00
857(d)	Application for any other kind of permit	257.00	283.00

**GENERAL FILING**

1601	Appointment of agent for service of process	\$65.00	\$72.00
12168	Amendments to motor club membership certificate, identification card, and service contract	45.00	50.00
1140.5	Proxy filing	124.00	136.00
12931	Service of process upon Commissioner	26.00	29.00
12640.20	Mortgage guarantee insurer (pool insurance filing)	1,026.00	1,129.00
1599	Trustee assets certificate	26.00	29.00
1401.5(a)	Application for order that certain exchanges need not obtain certificate of surplus	515.00	567.00
1101(b)(3)	Application for certificate of exemption	641.00	705.00
1113	Permit for multiple insurer operation	97.00	107.00
7042	Certificate approving merger of county mutual fire insurer	13.00	14.00
11620	Approval of amendment of California assigned risk plan	1,282.00	1,410.00
11691(d)(2)	Initial filing and semi-annual review-letter of credit	385.00	424.00
11691(d)(2)	Annual fee for letter of credit	257.00	283.00
11691(d)(1)	Filing of a deposit agreement	257.00	283.00
11691(d)(1)	Filing of an amended deposit agreement	257.00	283.00
12973	Fee for issuing certificates where fee is not otherwise specified	45.00	50.00
12973	Legal and other certificates	17.00-45.00	19.00-50.00
12973.5	Fees for application for license permit or certificate of authority and qualifying examination where no fee is specified	20.00	22.00
10479.5	Certificate of valuation	43.00 plus cost	47.00 plus cost
12973	Certificate of compliance	20.00	22.00
12973	Certificate pursuant to retaliation	20.00	22.00
132(a)(4)	Filing fee for registering as risk retention group	1,087.00	1,196.00
134(b)	Filing fee for registering as purchasing group	491.00	540.00
1192.8(d)	Approval of investment trust or partnership	6,793.00	7,472.00
1194.85	Approval of real estate investment by domestic insurer	actual expense not to exceed 680.00	actual expense not to exceed 748.00
742.39	MEWA financial information filing	382.00	420.00
1107.1	Application for approval to deliver consideration other than securities	340.00	374.00
4030	Domestic mutual insurer contract approval	136.00	150.00
10507.1	Investment return assurance approval	340.00 plus admin cost in excess of 340.00	374.00 plus admin cost in excess of 374.00
1104.9(a)(5)	Qualified custodian approval fee	2,037.00	2,241.00
1104.9(d)	Qualified custodial agreement approval fee	680.00	748.00
12640.10(d)	Permission to transact other classes (mortgage guaranty insurance)	2,037.00	2,241.00
18 U.S.Code Section 1033 Title 10, Sec. 2177.14	1033 Application for written consent	145.00	160.00

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**LIST OF APPROVED SURPLUS LINE INSURERS**

1765.2	Initial application	\$5,557.00	\$6,113.00
1765.2	Renewal application	2,779.00	3,057.00
1765.2	Financial update	310.00	341.00
1765.2	Non-financial update	45.00	50.00

**SECURITIES DEPOSIT AND WITHDRAWAL**

11691(d)	Initial securities deposit	\$124.00	\$136.00
11691(d)	Securities withdrawal, substitution or any change	65.00	72.00
949 & 1589	Certificate of deposit	65.00	72.00
1590	Fee for filing certificate	40.00	44.00
11692.5*	Failure to file workers' compensation bond or deposit penalty	see below	see below
11692.5*	Additional penalty after first month (per month)	see below	see below

\* Fees are as follows: (a) if the deposit shortfall is outstanding for less than 31 days, 0.5 percent of the deposit shortfall, but in no event not less than six hundred dollars (\$600). (b) If the deposit shortfall is outstanding for more than 30 days but less than 61 days, an additional late filing fee in the amount of 1 percent of the deposit shortfall, but in no event not less than one thousand two hundred dollars (\$1,200) shall be due. (c) An additional late filing fee of 1.5 percent of the deposit shortfall for every 30-day period thereafter, or fraction thereof, that the amount is outstanding, but in no event shall the total late fee be less than three thousand dollars (\$3,000).

**MISCELLANEOUS**

12970.1	Postage or delivery charges	actual	actual
12972	Attaching Commissioner's seal of office	\$1.00	\$1.00
1751.6	Computer listing of producers licensed in California		
	Resident Insurance Producer (Individual)	2,782.00	3,060.00
	Resident Property and Casualty Agent (Individual)	757.00	833.00
	Resident Life Agent (Individual)	1,387.00	1,526.00
	Resident Insurance Producer (Agency)	134.00	147.00
	Non-Resident Insurance Producer (Individual)	814.00	895.00
	Non-Resident Property and Casualty (Individual)	193.00	212.00
	Non-Resident Life Agent (Individual)	385.00	424.00
	Non-Residential Insurance Producer (Agency)	80.00	88.00
	Bail (Individual)	80.00	88.00
	Bail (Agency)	80.00	88.00
	Resident Estate Certificate of Convenience	80.00	88.00
	Interim Public Insurance Adjuster	80.00	88.00
	Resident Adjuster (Individual)	80.00	88.00
	Reinsurance Intermediary Broker	80.00	88.00
	Reinsurance Intermediary Manager	80.00	88.00
	Resident Adjuster (Agency)	80.00	88.00
	Non-Resident Adjuster (Agency)	80.00	88.00
	Resident Personal Lines Broker-Agent (Individual)	80.00	88.00
	Resident Personal Lines Broker-Agent (Agency)	80.00	88.00
	Non-Residential Personal Lines Broker-Agent (Individual)	80.00	88.00
	Non-Residential Personal Lines Broker-Agent (Agency)	80.00	88.00
	Resident Credit Insurance Agent (Individual)	80.00	88.00
	Resident Credit Insurance Agent (Agency)	80.00	88.00
	Non-Residential Credit Insurance Agent (Individual)	80.00	88.00
	Non-Residential Credit Insurance Agent (Agency)	80.00	88.00
	All (No Restrictions on License Type)	3,650.00	4,015.00
	Partial Listing	763.00 plus .01 per name	839.00 plus .01 per name
12973.7	Computer listing of names/addresses of admitted companies	67.00	74.00



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12973.6	Dishonor of checks	13.00	14.00
12975	Fee liens	not to exceed 1,019.00	not to exceed 1,121.00
12973.7	Documents, transcripts or other materials which may be furnished by the Commissioner where fee is not otherwise specified	not to exceed reasonable costs	not to exceed reasonable costs
12921.2 & Government Code Section 6253	Copies of public records	actual cost of production established @ \$0.63/page	actual cost of production established @ \$0.63/page
Government Code Section 11523 & Title 10, Ch 5 CCR Sec. 2509.76(b)	Preparation & Certification of Record on Appeal	actual cost	actual cost

**SUBPOENA**

Government Code Section 68097.2	Witness Fees (per day) (for Government employees)	\$303.00	\$333.00
Evidence Code Section 1563	Furnish Documents to Outside Photographer	17.00	19.00
Evidence Code Section 1563	Production of Documents (Other than above)	7.00 clerical cost per quarter hour	8.00 clerical cost per quarter hour
Evidence Code Section 1563	Copy Records	0.10 per page plus above clerical costs	0.10 per page plus above clerical costs

**NOTES:**

Fee amounts are subject to correction.

This Bulletin supersedes fees referenced in the California Insurance Code. Fees set forth in the California Insurance Code but not listed on this Bulletin are subject to this and prior fee adjustments. Please contact the California Department of Insurance for the new fee amount.