

**ORGANIZATIONAL AFFIDAVIT**

CDI-018 (Rev. 11/2015)

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See [Affidavit Instructions](#) before completing affidavit.

1. Name of applicant (and intended Fictitious Business name, if any): \_\_\_\_\_
  - A. Type of application: \_\_\_\_\_
  - B. Reason for application: \_\_\_\_\_
  - C. Statutory home address of applicant: \_\_\_\_\_
  - D. Primary location of books and records for applicant: \_\_\_\_\_
  - E. Applicant's state of domicile: \_\_\_\_\_
  - F. NAIC number of applicant (including group number, if any): \_\_\_\_\_
  - G. Federal employer identification number of applicant: \_\_\_\_\_

2. If applicant is part of a holding system, or has subsidiaries, or affiliates, provide a detailed organizational chart. Place an asterisk \* by all insurers holding a Certificate of Authority in California.

3. Name of applicant's ultimate controlling parent:
  - A. Statutory home address of applicant's ultimate controlling parent:  
\_\_\_\_\_
  - B. Primary location of books and records for applicant's ultimate controlling parent:  
\_\_\_\_\_
  - C. Ultimate controlling parent's state of domicile: \_\_\_\_\_

4. List names and titles of each of the applicant's Officers and Directors as shown in the format below.
  - A. Under "Name", show name as follows: Last Name, First Name, Middle Name. If there is no Middle Name, indicate (NMN). If there is an Initial Only, indicate (IO), following the initial.
  - B. Under "Title(s)", indicate the title of each officer/director. Do Not abbreviate.

<u>Name</u> (Last, First, Middle)	<u>Title</u>
_____	_____
_____	_____
_____	_____

5. List the names of all entities and/or individuals who hold ten (10) percent or more of Applicant's capital stock utilizing the format below.
  - A. Under "Name", if the holder is an entity, show the name of the Corporation, and DBA. Under "Name", if the holder is an individual, show the name as follows: Last Name, First Name, Middle Name. If there is no Middle Name, indicate (NMN). If there is an Initial Only, indicate (IO), following the initial.
  - B. Under "Percent of Stock", show the percent of stock held by each entity or person.

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Name

Percent of Stock

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6. Provide the names and titles of all individuals who are officers and/or directors of applicant's ultimate controlling parent, utilizing the following format.

A. Under "Name", show name as follows: Last Name, First Name, Middle Name. If there is no Middle Name, indicate (NMN). If there is an Initial Only, indicate (IO), following the Initial.

B. Under "Title", indicate the title of each officer/director. DO NOT abbreviate.

Name

Title

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7. Please provide the names of all entities and/or individuals who hold ten (10) percent or more of the capital stock of Applicant's ultimate controlling parent, utilizing the following format. (If any of these individuals have not previously submitted an Individual Affidavit they are required to do so.)

A. Under "Name", if the holder is a corporation, show the name of the corporation, and DBA. If the holder is an individual, show the name as follows: Last Name, First Name, Middle Name. If there is no Middle Name, indicate (NMN). If there is an Initial Only, indicate (IO).

B. Under "Percent of Stock", show the percent of stock held by each entity or person.

Name

Percent of Stock

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8. Provide a list, and certified copies of all criminal, civil, regulatory and administrative action(s) taken against applicant and/or applicant's ultimate controlling parent by any governmental body including actions outside the United States, (within the last ten (10) years) utilizing the following format:

Date: Show exact date of action. Example: 2-10-82.

Reason for Action: Be specific. Provide code sections if applicable. Give a brief summary of the alleged violation.

Government Body Taking Action: Be specific. Do not abbreviate. Include name, and full address of agency, including zip code.

Case Number (or other reference): Include both the agency and court case/reference number.

Results of Action: Give a brief summary of the results of the action.

