Producer Licensing Bureau P.O. Box 1139 Sacramento, CA 95812-1139 (916) 492-3069 www.insurance.ca.gov

ANNUAL CERTIFICATION OF AUTHORIZED TRAVEL RETAILERS To California Insurance Commissioner Pursuant to Section 1754 (a)(2) of the California Insurance Code

l, _____

Print Name

_____ an officer or/and owner of ______

_____, a limited lines travel insurance agent, hereby certifies under penalty of perjury under the laws of the State of California that the following are true and correct:

- 1. The attached list of travel retailers are qualified to offer travel insurance products under the authority of the limited lines travel insurance agent license.
- 2. No person other than an authorized travel retailer(s) employees sell or offer insurance on its behalf.
- All authorized travel retailer(s) employees have completed the training required pursuant to Section 1754(a)(6) of the California Insurance Code which includes the types of insurance offered, ethical sales practices, and disclosures to prospective insurance customers as referenced in Sections 1754(A)(7) and (8).
- 4. The travel retailers listed on the attached comply with Section 1033 of Title 18 of the United States Code*.

Officer or Managing Agent's Signature: _____

Title (please print):

Date: _____

State of California Department of Insurance Annual Certification of Authorized Travel Retailers – Limited Lines Travel Insurance Agent LIC TA 4 (Rev 12/2012)

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California Department of Insurance Annual Certification of Authorized Travel Retailers

Pursuant to Section 1754(a)(2) of the California Insurance Code

Travel Retailer Name	FEIN or	Name of Manager or Officer	Address and
	Social Security Number		Telephone Number
	1		
			1

*Under Section 1033 of Title 18 of the United States Code, it is a criminal offense for an individual who has been convicted of a felony involving dishonesty or breach of trust or any violation of 18 U.S.C. § 1033 to willfully engage or participate in the business of insurance unless that person has first obtained the written consent of the appropriate regulatory official. Further, it is a criminal offense for any person to willfully employ, or willfully permit, such "prohibited persons" to participate in the business of insurance without the required written consent. A "Prohibited Person" may be an officer, director or employee of an insurance agency or an insurance company, an agent, solicitor, broker, consultant, third party administrator, managing general agent, or subcontractor representing an insurance agency or insurance company who engages in or transacts the business of insurance.