



**CALIFORNIA DEPARTMENT OF INSURANCE
2014 GOVERNING BOARD DIVERSITY SURVEY**

Report Type: **Group**

Group / NAIC Code: **826**

Group / Company Name: **New York Life Insurance Company**

1. Please provide the name of the public, U.S. - based Board of Directors that represents the ultimate controlling party of the insurance group:

Not applicable.

2. How many people:

a) Serve on this Board of Directors? **12**

b) Of those in "a)", how many identify as:

i. Women **3**

ii. Men **9**

c) Of those in "a)", how many:

i. Are Disabled Veterans? **0**

ii. Publicly Identify as LGBT (Lesbian, Gay, Bisexual, and / or Transgender)? **0**

d) Of those in "a)", how many identify as:

i. American Indian? **0**

v. Multi-Ethnic? **0**

ii. African American? **1**

vi. Caucasian? **10**

iii. Asian Pacific Islander? **0**

vii. Other? **0**

iv. Hispanic / Latino? **1**

3. Of the board members identified in "b) i; c) i-ii, and d) i-v", how many:

a) Currently serve as an officer of the corporation? **0**

b) List of all positions currently held by these board members (i.e. chair, vice chair, secretary).

Not applicable

c) Currently serve as an chair of a board committee? **1**

d) List of all committees currently chaired by these board members (i.e. nomination committee, governance committee).

Ralph de la Vega - Chairman of the Audit Committee (Hispanic/Latino)

4. Do governing board members serve under term limits? **NO**

If yes, description of the term limits (including the number of years per term and if there is a maximum number of terms one can serve on the board).

[Redacted]

If no, the average number of years served by the current governing board: **8.66**

5. Is diversity one of the attributes within the formal evaluation criteria by the nomination committee and by the board when considering prospective board members? **YES**

6. Does the mission statement of the governing board and / or any of its committees include the goal of increasing and / or improving diversity amongst its governing board members? **YES**

If yes, indicate where this language can be found (including mission statement language).

In New York Life’s Corporate Governance Guidelines: “The Board strives to represent Director diversity along a multitude of dimensions, including functional and subject matter expertise, professional and industry experience, race, ethnicity, age, and gender. The Board Members each contribute unique capabilities and perspectives that, individually and collectively, enhance the Board’s ability as a governing body.”

7. Does your group actively engage in outreach efforts to diversify your board? **YES**
This can include ongoing outreach efforts, as well as outreach efforts as vacancies arise.

If yes, share the outreach efforts your company engages in to diversify your governing board.

As noted in our response to Question 6, the New York Life Board strives to represent director diversity in its Board composition. It does so along a multitude of dimensions, each of which enhances the Board’s ability as a governing body. The Board considers these attributes when conducting director searches itself or through third-party director search firms.

8. Additional comments:

[Redacted]

9. List of companies in the group that meet the \$100 million threshold:

Company Name	Company NAIC Number
New York Life Insurance Company	66915
New York Life Insurance and Annuity Corporation	91596

