CALIFORNIA DEPARTMENT OF INSURANCE
2014 GOVERNING BOARD DIVERSITY SURVEY

If this is a group report for more than one company, please check here: □

Group reports: Please complete Part 1, Part 2, and Addendum A (Note: Each company within the group must submit Addendum A.)

GROUP NAME: _____________________________________________
GROUP NAIC NUMBER: __________________

PLEASE READ: Insert Company Name and NAIC Number here, only if submitting as an individual company. Otherwise, leave the two fields blank.

If this is an individual company submission, please check here: □

Individual Company reports: Please complete Part 1.

COMPANY NAME: ___________________________________________
COMPANY NAIC NUMBER: __________

PART 1:

1. Please provide the name of the public, U.S.-based Board of Directors that represents the ultimate controlling party of the insurance group:

________________________________________________________________

2. How many people:
   a) Serve on this Board of Directors? ____
   b) Of those in “a),” how many identify as:
      i. Women ____ ii. Men ____
   c) Of those in “a),” how many:
      i. Are Disabled Veterans? ____ ii. Publicly Identify as LGBT (Lesbian, Gay, Bisexual, and/or Transgender)? ____
   d) Of those in “a),” how many identify as:
      iii. Asian Pacific Islander? ____ vii. Other? ____
      iv. Hispanic / Latino? ____
3. Of the board members identified in “b) i; c) i-ii, and d) i-v,” how many:

a) Currently serve as an officer of the corporation? ___

b) Please list all positions currently held by these board members (i.e. chair, vice chair, secretary) on the space provided below.

____________________________________________________________________________________

c) Currently serve as a chair of a board committee? ___

d) Please list all committees currently chaired by these board members (i.e. nomination committee, governance committee) on the space provided below.

____________________________________________________________________________________

4. Do governing board members serve under term limits?  
☐ Yes ☐ No

If yes, please describe the term limits on the space provided below (include the number of years per term and if there is a maximum number of terms one can serve on the board).

____________________________________________________________________________________

If no, please provide the average number of years served by the current governing board: ___

5. Is diversity one of the attributes within the formal evaluation criteria by the nominating committee and by the board when considering prospective board members?  
☐ Yes ☐ No

6. Does the mission statement of the governing board and/or any of its committees include the goal of increasing and/or improving diversity amongst its governing board members?  
☐ Yes ☐ No

If yes, please indicate where this language can be found and share below the mission statement language.
7. Does your group actively engage in outreach efforts to diversify your board?  
*This can include ongoing outreach efforts, as well as outreach efforts as vacancies arise.*  
☐ Yes ☐ No  

If yes, please share the outreach efforts your company engages in to diversify your governing board.

8. Please share any additional comments below:

PART 2: *(To be completed if this is a Group Report)*

1. Please list below all companies in the group that meet the threshold to report:

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<th>COMPANY NAME</th>
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2. For each company listed above, please fill out and submit *Addendum A* and attach to this report.