**Payment to Agency Report**

1. **Agency Name**
   - California Department of Insurance

2. **Donor Name and Address**
   - □ Individual
   - □ Other

3. **Payment Information**

   3.1 (a) Travel Payment
   - Please see attachment two
   - Location of Travel
   - Dates (month, day, year)
   - Transportation Provider
   - □ Rail
   - □ Air
   - □ Bus
   - □ Auto
   - □ Other
   - Name of Lodging Facility
   - Lodging Expenses
   - Meal Expenses
   - Transportation Expenses
   - Other Expenses
   - Total Expenses

   3.1 (b) Payment(s) not related to travel: n/a
   - Dates (month, day, year)
   - Total Expenses

4. **Verification**
   - I authorized the acceptance of the reported payment(s) as in compliance with FPPC regulations.
   - Geoffrey Margolis  
     Deputy Commissioner & Specia
   - 03/02/17

**Comment:** The UNEP meeting is added to the report.

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FPPC Form 801 (Jan/14)
advice@fppc.ca.gov
Payment to Agency Report
Instructions
A Public Document

This form is used to report certain payments received by state and local government agencies. It includes:

- a payment for an official's travel expenses for the purpose of facilitating the public's business in lieu of a payment using agency funds; and
- a payment that would otherwise be considered a gift or income to the benefiting official, but is instead accepted on behalf of the agency.

FPPC Regulations 18944 and 18950.1 provide a procedure that state and local agencies may use to disclose payments used for agency purposes and paid by a third party. The regulations' reporting procedures provide an alternative means to disclose a payment that may otherwise be considered income or a gift to a benefitting employee and subject to reporting on a Statement of Economic Interest, Form 700.

When and Where to File
An agency accepting a payment pursuant to Regulation 18944 and 18950.1 must complete Form 801 for each payment received regardless of the amount. The form must be maintained as a public document. If payments aggregate $2,500 or more in a calendar quarter, website posting is required.

Website Posting:

State Agencies
Within 30 days after the end of a calendar quarter if aggregated reported payments, for travel and non-travel purposes, total $2,500 or more:

- the agency must post the reports (or a report summary) on the agency website; and
- forward the information to the FPPC which will also post the information.

Local Agencies
The website posting rules differ for travel and non-travel payments.

Travel
Within 30 days after the end of a calendar quarter if aggregated reported payments total $2,500 or more:

- the agency must post the reports (or a report summary) on the agency website; and
- forward the information to the FPPC.

Payments Not Related to Travel
The agency's filing officer for Statement of Economic Interests, Form 700, must receive the report. Within 30 days after the end of a calendar quarter if aggregated reported payments total $2,500 or more, the local agency must post the information on the local agency website. A report is not sent to the FPPC unless the agency does not have a website.

Postings must be displayed in a prominent manner and easily accessible. Reports may be posted earlier.

FPPC: Statements should be emailed to form801@fppc.ca.gov. Statements may also be mailed to 428 J Street, Suite 620, Sacramento, CA, 95814 or faxed to (916) 322-3711.

Part 1. Agency Identification
List the agency's name and address and the name of an agency contact. Mark the amendment box if changing any information on a previously filed form and include the date of the original filing.

Part 2. Donor Information
Disclose the name and address of the donor. If the donor is not an individual, identify the business activity or nature and interests of the entity.

If the donor received funds from other sources that were used in connection with the payment, disclose the name and payment information for each source.

Part 3. Payment Information
Expenses may be rounded to whole dollars.

Section 3.1.a. Itemize travel payments including departure and return dates. Complete all fields, use "n/a" appropriately. Total the expenses for items such as taxi rides, gratuities, and rental cars in the "other" field and describe in the comments section.

Section 3.1.b. Report agency payments that are not travel related.

Section 3.2. Description
All payments must include a specific description of the use of the payment and the intended purpose for agency business. For example, a travel payment may read: Travel to attend an EPA co-sponsored solar energy seminar in Washington D.C.

Section 3.3. Identify Officials
Travel Payments: The name of the position/title and department of each official who used the payment is required. If the official's name or title is an elected or appointed official, it is not required to list the names of other officials, rather insert "n/a." Do not leave blank.

Non-Travel Payments: The name, position/title and department of the agency official who used the payment must be identified. All officials' names are required.

Part 4. Verification
Verification of travel payments must be signed by an authorized agency official. Such individuals are those who have the authority to approve similar travel payments when made with agency funds.

Verification of non-travel payments must be signed by the agency head.

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The National Association of Insurance Commissioners (NAIC) is the U.S. standard-setting and regulatory support organization created and governed by the chief insurance regulators from the 50 states, the District of Columbia and five U.S. territories. Through the NAIC, state insurance regulators establish standards and best practices, conduct peer review, and coordinate their regulatory oversight. NAIC staff supports these efforts and represents the collective views of state regulators domestically and internationally. NAIC members, together with the central resources of the NAIC, form the national system of state-based insurance regulation in the U.S.

California, as the largest insurance market in the nation, plays a significant role in helping shape NAIC model laws and regulatory policy. Doing so involves active participation in NAIC National Meetings and conference calls with regulators from other states. In this regard, California serves as Chair, Vice Chair and/or Member on approximately 115 out of the 158 NAIC Committees, Task Forces and Working Groups, and actively monitors the approximately 43 other bodies.

The United Nations Environment Programme (UNEP) is the leading global environmental authority that sets the global environmental agenda, promotes the coherent implementation of the environmental dimension of sustainable development within the United Nations system and serves as an authoritative advocate for the global environment.
<table>
<thead>
<tr>
<th>#</th>
<th>Meeting or Event Name/Location</th>
<th>Travel Dates</th>
<th>Traveler’s Name/Title</th>
<th>Transportation Provider</th>
<th>Airfare</th>
<th>Lodging</th>
<th>Meals</th>
<th>Other Expenses</th>
<th>Total Expenses</th>
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<tr>
<td>1</td>
<td>NAIC - Covered Agreement Meeting/ Marriott Courtyard Washington, DC</td>
<td>Dec 5-8, 2016</td>
<td>John Finston, Deputy Commissioner &amp; General Counsel Legal</td>
<td>United Airlines</td>
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<td>$1,387.75</td>
<td>$70.00</td>
<td>$44.15 (Taxi/Uber), $15 (Incidentals)</td>
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<td>2</td>
<td>2016 NAIC Fall National Meeting/ Fontainebleau Miami Miami, FL</td>
<td>Dec 9-13, 2016</td>
<td>Geoffrey Margolis, Deputy Commissioner &amp; Special Counsel Office of the Special Counsel</td>
<td>American Airlines</td>
<td>$731.20</td>
<td>$1,358.88</td>
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<td>UNEP - Sustainable Insurance Forum Sir Francis Drake San Francisco, CA</td>
<td>Nov 30-Dec 2, 2016</td>
<td>Dave Jones, Insurance Commissioner Executive Office</td>
<td>Auto</td>
<td>N/A</td>
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<td>$461.29</td>
<td>$177 (Parking)</td>
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<td>Geoffrey Margolis, Deputy Commissioner &amp; Special Counsel Office of the Special Counsel</td>
<td>Auto</td>
<td>N/A</td>
<td>$416.40</td>
<td>$353.29</td>
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<td>Emily Smith, Attorney Office of Climate Risk Initiatives</td>
<td>Auto</td>
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<td>N/A</td>
<td>$461.29</td>
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<td>Natalie Bruton-Yenovkian, Analyst Office of the Special Counsel</td>
<td>Auto</td>
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<td>7</td>
<td>UNEP - Sustainable Insurance Forum Sir Francis Drake San Francisco, CA</td>
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<td>$416.40</td>
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<td>$596.40</td>
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**TOTAL:** $10,075.32