April 6, 2018 PUBLIC NOTICE # 2018-14

PUBLIC NOTICE OF INSURANCE COMPANIES RATE FILING

The following companies have submitted rate filings as required by California Insurance Code Section 1861.05 (Proposition 103). Rate filings may be viewed via the Internet at: http://www.insurance.ca.gov/0250-insurers/0800-rate-filings/0050-viewing-room. Rate filings may also be viewed at department offices at 45 Fremont Street, 23rd Floor, San Francisco and at 300 South Spring Street, 12th Floor, Los Angeles. Please call in advance to schedule appointments to view filings:

San Francisco - (415) 538-4300

Los Angeles - (213) 346-6707

Public Notices of rate filings are now posted every Friday on our web site at http://www.insurance.ca.gov/0250-insurers/0800-rate-filings/0100-rate-filing-lists/public-notices/index.cfm. In addition, listings of closed files may be viewed on our Internet site.

California Insurance Code Section 1861.05 (Proposition 103) allows any member of the public to request a hearing within 45 days from the date of this notice on any of the rate changes proposed. Request must be in writing and addressed to: RATE ENFORCEMENT BUREAU, 45 Fremont Street, 21st Floor, San Francisco, CA 94105. The public may also obtain information about the hearing process, intervention and compensation by contacting the Office of the Public Advisor at (916) 492-3559.

IMPORTANT NOTICE

The following information regarding the New Prior Approval Regulations can be found on our website at: http://www.insurance.ca.gov/0250-insurers/0800-rate-filings/0200-prior-approval-factors/.

- Prior Approval Rate Filing Instructions and Application
- Prior Approval Factors (Prior approval regulations; efficiency standards, leverage ratios, loss and LAE reserve ratios, and unearned premium reserve ratios)
- Prior Approval Template
- Prior Approval Yield and FIT Rate on Investment Template
- Executive Compensation Exhibit

Note: Exemption filings, received on June 17, 1989 and prior approval files up and including those received in the year 2000 or earlier, have been archived. Archived filings take approximately four weeks to retrieve.

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(see attached list)

File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
40.4040	·	ACCIDENT FUND INSURANCE COMPANY OF						
18-1943	0572	AMERICA	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	NEW PROGRAM	ACE MUNICIPAL ADVANTAGE PUBLIC	0	PERR-131434588
18-1916	0626	ACE AMERICAN INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	ENTITY LIAB		ACEH-131433420
18-1942	0626	ACE AMERICAN INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	NEW PROGRAM	CONTRACT REIMBURSEMENT	0	ACEH-131427862
18-1979	0012	AIG PROPERTY CASUALTY COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	NONE		AGNY-131418119
18-1980	0012	AIU INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	NONE		AGNY-131418119
18-1969	0215	ALLIANCE UNITED INSURANCE COMPANY	PERSONAL	AUTO LIAB/PHYS DAMAGE	RATE	GOLD (CONSOLIDATED)	6.9	ALLI-131437557
18-1924	8000	ALLSTATE INSURANCE COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RULE CHANGE	COMPOSITE RATING PLAN		ALSE-131431380
18-1972	0008	ALLSTATE INSURANCE COMPANY	COMMERCIAL	MULTI-PERIL	RULE CHANGE	PACKAGE POLICY		ALSE-131435441
18-1945	0140	AMCO INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	UMBRELLA/EXCESS		NWPP-131438870
18-1952	0140	AMCO INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	NONE		NWPP-131436779

^{*} If no % rate change is indicated, the amount has not yet been determined:

File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
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18-1981	0012	AMERICAN HOME ASSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	NONE		AGNY-131418119
18-1927	0361	AMERICAN MODERN HOME INSURANCE COMPANY	COMMERCIAL	FIRE	FORMS	PHYSICAL DAMAGE		AMMH-131436218
18-1960	0361	AMERICAN MODERN HOME INSURANCE COMPANY	PERSONAL	INLAND MARINE	BOTH RATE AND FORM	PET HEALTH	9.9	AMMH-131423514
18-1974	0212	AMERICAN ZURICH INSURANCE COMPANY	COMMERCIAL	INLAND MARINE	FORMS	BUILDERS RISK		ZURC-131439759
18-1914	0031	AMGUARD INSURANCE COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RULE CHANGE	NONE		AMGD-131418344
18-1894	1279	ARCH INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	FIDUCIARY LIABILITY PRODUCT		WESA-131411055
18-1928	4698	ASPEN AMERICAN INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY		PROF. LIAB ARCHITECTS AND ENGINEERS	0	AIUS-131439979
18-1959	4904	ATLANTIC SPECIALTY INSURANCE COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RULE CHANGE	NONE		BEAC-131353440
18-1908	3416	AXIS INSURANCE COMPANY	COMMERCIAL	MULTI-PERIL	RULE CHANGE	AUTO DISMANTLERS AND AUTO SCRAP DEALERS		PERR-131428242
18-1963	3416	AXIS INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	UMBRELLA AND EXCESS		AXSS-131438107

^{*} If no % rate change is indicated, the amount has not yet been determined:

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File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Rate %*	SERFF#
18-1965	3416	AXIS INSURANCE COMPANY	COMMERCIAL	BURGLARY AND THEFT	FORMS	CRIME		AXSS-131439383
18-1967	3416	AXIS INSURANCE COMPANY	COMMERCIAL	FIDELITY	FORMS	NONE		AXSS-131439380
18-1909	0000	BEAZLEY INSURANCE COMPANY, INC.	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	EXECUGUARD		BEAZ-131425699
18-1884	0501	CAPITOL INDEMNITY CORPORATION	COMMERCIAL	BURGLARY AND THEFT	FORMS	CRIME		CAPC-131425756
18-1893	0501	CAPITOL INDEMNITY CORPORATION	COMMERCIAL	FIDELITY	FORMS	CRIME.		CAPC-131425654
18-1912	0501	CAPITOL INDEMNITY CORPORATION	COMMERCIAL	SURETY	FORMS	NONE		CAPC-131432024
18-1923	0501	CAPITOL INDEMNITY CORPORATION	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RULE CHANGE	NONE		CAPC-131425509
18-1910	0098	CAROLINA CASUALTY INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	NONPROFIT MANAGEMENT LIAB.		MRTN-131429602
18-1911	0098	CAROLINA CASUALTY INSURANCE COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RATE	TRANSPORTATION	0.8	CARC-131429251
18-1926	0000	CGB INSURANCE COMPANY	COMMERCIAL	ALLIED LINES	NEW PROGRAM	FIELD GRAIN FIRE	0	DIVR-131424827

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File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
18-1950	0000	CGB INSURANCE COMPANY	COMMERCIAL	ALLIED LINES	NEW PROGRAM	PASTURE FIRE	0	DIVR-131414797
18-1900	0244	CINCINNATI INSURANCE COMPANY (THE)	PERSONAL	HOMEOWNERS MULTI- PERIL	FORMS	HOMEOWNERS		CNNB-131434641
18-1885	0088	CITIZENS INSURANCE COMPANY OF AMERICA	COMMERCIAL	MULTI-PERIL	RULE CHANGE	BUSINESS OWNERS		HNVR-131439295
18-1915	4850	CLEAR BLUE INSURANCE COMPANY	COMMERCIAL	FIDELITY	NEW PROGRAM	FIDELITY	0	MRTN-131429484
18-1982	0012	COMMERCE AND INDUSTRY INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	NONE		AGNY-131418119
18-1951	0140	CRESTBROOK INSURANCE COMPANY	PERSONAL	HOMEOWNERS MULTI- PERIL	RATE AND VARIANCE	VAR. 2A HOMEOWNES	6.9	NWPP-131420216
18-1953	0140	DEPOSITORS INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	NONE		NWPP-131436779
18-1925	0062	EMPLOYERS MUTUAL CASUALTY COMPANY	COMMERCIAL	INLAND MARINE	RULE CHANGE	UNCONTROLLED INLAND MARINE		EMCC-131431780
18-1930	0062	EMPLOYERS MUTUAL CASUALTY COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RULE CHANGE	NONE		EMCC-131441090
18-1948	3219	ENDURANCE RISK SOLUTIONS ASSURANCE CO.	COMMERCIAL	OTHER LIABILITY	FORMS	PRIMARY MNGT LIAB		REGU-131438860

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File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
18-1988	1120	EVEREST NATIONAL INSURANCE COMPANY	PERSONAL	AUTO LIAB/PHYS DAMAGE	RATE AND VARIANCE	VAR 5	6.9	EVST-131426020
10-1900	1120	EVEREST NATIONAL INSURANCE COMPANY	PERSONAL	AUTO LIAB/PHTS DAINIAGE	VARIANCE	VAR 5	0.9	EV31-131420020
18-1944	0626	FEDERAL INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	ASSET MANAGEMENT PROTECTOR		ACEH-131431933
18-1929	0479	FIRST FINANCIAL INSURANCE COMPANY	COMMERCIAL	INLAND MARINE	RULE CHANGE	SPECIALTY INLAND MARINE		ALAM-131440600
18-1962	0479	FIRST FINANCIAL INSURANCE COMPANY	COMMERCIAL	INLAND MARINE	RULE CHANGE	SPECIALTY INLAND MARINE		ALAM-131441575
18-1949	0031	GEICO INDEMNITY COMPANY	PERSONAL	AUTO LIAB/PHYS DAMAGE	CLASS PLAN	MOTORCYCLE		GECC-131419489
18-1957	0749	GENERAL SECURITY NATIONAL INSURANCE COMPANY	COMMERCIAL	INLAND MARINE	RULE CHANGE	EQUINE MORTALITY	0	PERR-131445220
18-1983	0012	GRANITE STATE INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	NONE		AGNY-131418119
18-1931	0084	GREAT AMERICAN ALLIANCE INSURANCE COMPANY	COMMERCIAL	FIRE	RULE CHANGE	NONE		GACX-131440528
18-1932	0084	GREAT AMERICAN ASSURANCE COMPANY	COMMERCIAL	FIRE	RULE CHANGE	NONE		GACX-131440528
18-1883	0084	GREAT AMERICAN INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	UMBRELLA EXCESS		MDCC-131429967

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File#	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
File#	Gip#	Company Name	Lille Type	Line Description	File Type	Fiogram	Nate /6	JERFF#
18-1892	0084	GREAT AMERICAN INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	NONE		MDCC-131429452
18-1895	0084	GREAT AMERICAN INSURANCE COMPANY	COMMERCIAL	INLAND MARINE	FORMS	NONE		MDCC-131430131
18-1896	0084	GREAT AMERICAN INSURANCE COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	FORMS	NONE		MDCC-131429935
18-1933	0084	GREAT AMERICAN INSURANCE COMPANY	COMMERCIAL	FIRE	RULE CHANGE	NONE		GACX-131440528
18-1987	0084	GREAT AMERICAN INSURANCE COMPANY	COMMERCIAL	BOILER AND MACHINERY		MACHINE TOOL OPERATOR ERROR	0	GACX-131433513
18-1934	0084	GREAT AMERICAN INSURANCE COMPANY OF NEW YORK	COMMERCIAL	FIRE	RULE CHANGE	NONE		GACX-131440528
18-1886	0088	HANOVER AMERICAN INSURANCE COMPANY (THE)	COMMERCIAL	MULTI-PERIL	RULE CHANGE	BUSINESS OWNERS		HNVR-131439295
18-1887	0088	HANOVER INSURANCE COMPANY (THE)	COMMERCIAL	MULTI-PERIL	RULE CHANGE	BUSINESS OWNERS		HNVR-131439295
18-1901	4359	HOUSING AUTHORITY PROPERTY INSURANCE, A MUTUAL COMPANY	COMMERCIAL	MULTI-PERIL	FORMS	NONE		HAPP-131432018
18-1984	0012	INSURANCE COMPANY OF THE STATE OF PENNSYLVANIA (THE)	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	NONE		AGNY-131418119

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File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
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18-1922	2538	INTEGON NATIONAL INSURANCE COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	FORMS	C-RAD		GMMX-131429320
18-1971	4889	JEWELERS MUTUAL INSURANCE COMPANY	COMMERCIAL	INLAND MARINE	FORMS	JEWELERS BLOCK & JEWELERS STANDARD		JMIC-131413940
18-1973	4889	JEWELERS MUTUAL INSURANCE COMPANY	COMMERCIAL	MULTI-PERIL	FORMS	PAWN		JMIC-131413960
18-1905	0150	MANUFACTURERS ALLIANCE INSURANCE COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RULE CHANGE	NONE		STLR-131435200
18-1888	0088	MASSACHUSETTS BAY INSURANCE COMPANY	COMMERCIAL	MULTI-PERIL	RULE CHANGE	BUSINESS OWNERS		HNVR-131439295
18-1978	2878	MERCED PROPERTY & CASUALTY COMPANY		HOMEOWNERS MULTI- PERIL	NEW PROGRAM	MANUFACTURED HOME	0	PERR-131445090
18-1941	0000	METROMILE INSURANCE COMPANY	PERSONAL	AUTO LIAB/PHYS DAMAGE	RATE AND VARIANCE	VARIANCE 7B & 8B	15	MMIS-131435424
18-1977	0000	METROMILE INSURANCE COMPANY	PERSONAL	AUTO LIAB/PHYS DAMAGE	CLASS PLAN	NONE		MMIS-131435423
18-1985	0012	NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	NONE		AGNY-131418119
18-1946	0140	NATIONWIDE AGRIBUSINESS INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	UMBRELLA/EXCESS		NWPP-131438870

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File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
18-1954	0140	NATIONWIDE AGRIBUSINESS INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	NONE		NWPP-131436779
18-1947	0140	NATIONWIDE INSURANCE COMPANY OF AMERICA	COMMERCIAL	OTHER LIABILITY	FORMS	UMBRELLA/EXCESS		NWPP-131438870
18-1955	0140	NATIONWIDE INSURANCE COMPANY OF AMERICA	COMMERCIAL	OTHER LIABILITY	FORMS	NONE		NWPP-131436779
18-1956	0140	NATIONWIDE MUTUAL INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	NONE		NWPP-131436779
18-1986	0012	NEW HAMPSHIRE INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	NONE		AGNY-131418119
18-1879	0256	NEW YORK MARINE AND GENERAL INSURANCE COMPANY	COMMERCIAL	INLAND MARINE	RATE	OIL AND GAS- CONTRACTORS EQUIPMENT	-0.1	PRSS-131396550
18-1937	0256	NEW YORK MARINE AND GENERAL INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	FORMS	PROF. LIAB LAWYERS		PRSS-131440121
18-1958	0256	NEW YORK MARINE AND GENERAL INSURANCE COMPANY	COMMERCIAL	INLAND MARINE	FORMS	SAFEHOLD BUILDERS RISK		PRSS-131437904
18-1961	0256	NEW YORK MARINE AND GENERAL INSURANCE		OTHER LIABILITY	RULE CHANGE	OIL & GAS CONTRACTORS		PRSS-131437073
18-1964	0158	NORTH RIVER INSURANCE COMPANY (THE)		OTHER LIABILITY	RULE CHANGE	TRIA2015 - UMBRELLA/EXCESS		CRUM-131438863

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File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
18-1882	0246	PENNSYLVANIA LUMBERMENS MUTUAL INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	NEW PROGRAM	CYBERSUITE	0	PNSY-131275709
18-1906	0150	PENNSYLVANIA MANUFACTURERS' ASSOCIATION INSURANCE COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RULE CHANGE	NONE		STLR-131435200
18-1907	0150	PENNSYLVANIA MANUFACTURERS INDEMNITY COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RULE CHANGE	NONE		STLR-131435200
18-1880	3098	PHILADELPHIA INDEMNITY INSURANCE COMPANY	COMMERCIAL	FIRE	NEW PROGRAM	SWIMMING POOL DEALERS AND INSTALLERS	0	PHLX-131435401
18-1881	3098	PHILADELPHIA INDEMNITY INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	NEW PROGRAM	UMBRELLA/EXCESS- SWIMMING POOL DEALERS AND INSTALLE	0	PHLX-131435367
18-1899	3098	PHILADELPHIA INDEMNITY INSURANCE COMPANY	COMMERCIAL	AUTO LIAB/PHYS DAMAGE	RULE CHANGE	FUEL DEALERS		PHLX-131395968
18-1921	3098	PHILADELPHIA INDEMNITY INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	FITNESS AND WELLNESS		PHLX-131433122
18-1913	0501	PLATTE RIVER INSURANCE COMPANY	COMMERCIAL	SURETY	FORMS	NONE		CAPC-131432024
18-1936	0091	SENTINEL INSURANCE COMPANY, LTD.	COMMERCIAL	MULTI-PERIL	RULE CHANGE	GROWING SPECTRUM		HART-131442001
18-1989	4277	SENTRUITY CASUALTY COMPANY	COMMERCIAL	OTHER LIABILITY	RULE CHANGE	GAP WISDOM	0	YTYC-131292975

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File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
18-1976	0098	STARNET INSURANCE COMPANY	COMMERCIAL	OTHER LIABILITY	NEW PROGRAM	MGMT LIAB FOR INS CO	0	BNIC-131406635
18-1940	0176	STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY	PERSONAL	AUTO LIAB/PHYS DAMAGE	CLASS PLAN	NONE		SFMA-131396562
18-1917	4869	STILLWATER INSURANCE COMPANY	PERSONAL	OTHER LIABILITY	RULE CHANGE	UMBRELLA/EXCESS		FDLY-131439408
18-1968	4869	STILLWATER INSURANCE COMPANY	PERSONAL	AUTO LIAB/PHYS DAMAGE	RATE	PREFERRED	6.9	FDLY-131442471
18-1975		SURETY & FIDELITY ASSOCIATION OF AMERICA (THE)	COMMERCIAL	SURETY	MANUAL	NONE		SURE-131439658
18-1889	3548	TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA	COMMERCIAL	OTHER LIABILITY	FORMS	EMPLOYMENT PRACTICES LIAB(MODULAR)		TRVD-131429365
18-1891	3548	TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA	COMMERCIAL	OTHER LIABILITY	FORMS	PRIVATE COMPANY D&O LIAB. (MODULAR)		TRVD-131429413
18-1897	3548	TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA	COMMERCIAL	OTHER LIABILITY	FORMS	PROF LIAB - FINANCIAL INSTITUTION (MODULAR)		TRVD-131429369
18-1898	3548	TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA	COMMERCIAL	OTHER LIABILITY	FORMS	PRIVATE PARTNERSHIP LIABILITY (MODULAR)		TRVD-131429375
18-1918	3548	TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA	COMMERCIAL	OTHER LIABILITY	FORMS	FIDUCIARY LIABILITY (MODULAR)		TRVD-131429371

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File #	Grp#	Company Name	Line Type	Line Description	File Type	Program	Overall Rate %*	SERFF#
18-1919	3548	TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA	COMMERCIAL	OTHER LIABILITY	FORMS	PROF.LIAB MISCELLANEOUS (MODULAR)		TRVD-131429373
18-1920	3548	TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA	COMMERCIAL	OTHER LIABILITY		NON-PROFIT ORGANIZATIONS D & O LIABILITY(MODULAR)		TRVD-131429412
18-1938	3548	TRAVELERS INDEMNITY COMPANY OF CONNECTICUT (THE)	COMMERCIAL	MULTI-PERIL		PUBLIC ENTITY RATE PLAN		TRVD-131437636
18-1939	3548	TRAVELERS PROPERTY CASUALTY COMPANY OF AMERICA	COMMERCIAL	MULTI-PERIL		PUBLIC ENTITY RATE PLAN		TRVD-131437636
18-1935	0626	VIGILANT INSURANCE COMPANY	COMMERCIAL	MULTI-PERIL	RULE CHANGE	CUSTOMARQ		ACEH-131442544
18-1966	0309	WESTERN HOME INSURANCE COMPANY	PERSONAL	AUTO LIAB/PHYS DAMAGE	RATE AND VARIANCE	VAR. 8AWESTERN HOME 1	6.9	PERR-131439323
18-1890	1285	XL SPECIALTY INSURANCE COMPANY	COMMERCIAL	SURETY	NEW PROGRAM	COMM. ACCOUNT RATING PLAN	0	XLAM-131431291
107 TOTA	AL APPLIC	CATIONS RECEIVED INCLUDING CLASS PLANS, FII	LE AND USE, AI	ND ADVISORY ORGANIZATIO	N MANUALS AND F	FORMS		