

**STATE OF CALIFORNIA
DEPARTMENT OF INSURANCE
SCHEDULE OF FEES AND CHARGES
EFFECTIVE DATE: NOVEMBER 1, 2002**

INSURANCE CODE SECTION	FEE CATEGORY	CURRENT FEE (through 10-31-02)	NEW FEE (eff. 11-1-02)
LICENSES AND PENALTIES INSURANCE ADJUSTER LICENSES			
14097(a)	Original license application	\$ 52.00	\$ 55.00
14097(b)	Original branch office certification	33.00	35.00
14097(c),(d)(1)	Original license & renewal of license	209.00	219.00
14097(c),(d)(2)	Branch office certificate renewal	42.00	44.00
14097(f)	Delinquency, 50% of renewal, not to exceed	52.00	55.00
14097(g)	Re-examination of applicant or applicants manager	21.00	22.00
14042	Additional fictitious business name or change	18.00	19.00
14042	License reissued in a fictitious business name	21.00	22.00
PUBLIC INSURANCE ADJUSTER LICENSE			
15016(a)(3)	Interim License	\$ 176.00	\$ 185.00
15060(a)	Original license application	45.00	47.00
15060(b)	Original branch office certification	26.00	27.00
15060(c),(d)(1)	Original license & renewal of license	176.00	185.00
15060(c),(d)(2)	Branch office certificate renewal	35.00	37.00
15060(f)	Delinquency, 50% of renewal, not to exceed	45.00	47.00
15060(g)	Reexamination of applicant	18.00	19.00
15031	Additional Fictitious business name or change	18.00	19.00
BAIL LICENSE			
1811(a)	Agent, original filing	\$ 209.00	\$ 219.00
1811(e)	Agent, renewal filing	62.00	65.00
1811(b)	Solicitor, original filing	209.00	219.00
1811(f)	Solicitor, renewal filing	62.00	65.00
1811(c)	Permittee, original filing	416.00	437.00
1811(g)	Permittee, renewal filing	262.00	275.00
1808(c)	License renewal penalty fee	twice fee above	twice fee above
1811(i)	Approval of fictitious or other name	21.00	22.00
1811(j)	Replace bail bond	18.00	19.00
1811(k)	First amendment to application	11.00	12.00
1811(l)	Subsequent amendments	21.00	22.00
PRODUCTION AGENCY LICENSE			
1750(a) & 1718	Fire and Casualty broker-agent license and renewal, per year	\$ 62.00	\$ 65.00
1751(c)	First amendment to application	11.00	12.00
1751(c)	Subsequent amendments	21.00	22.00
1750 & 1718	Life agent license, resident renewal, per year	62.00	65.00
1750 & 1718	Life agent license, nonresident renewal, per year	62.00	65.00
1751(c)	First amendment to application	11.00	12.00
1751(c)	Subsequent amendments	21.00	22.00
1842	Life and disability insurance analyst issuance or renewal	209.00	219.00
1751.3	Notice of appointment	21.00	22.00
1751.3	Notice of termination of appointment	21.00	22.00
1751(n)	Amended notice of appointment or termination	7.00	7.00
1751(i)	Ratification of agency appointments by surviving insurer	136.00	143.00
SURPLUS LINE BROKER AND/OR SPECIAL LINES SURPLUS LINE BROKER			
1765(d)	Naming up to two persons on the license, per year	\$ 519.00	\$ 545.00
1765(d)	Each additional person named on the license, per year	146.00	153.00

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OTHER LICENSE			
1755	Travel insurance agent license, per year	\$ 21.00	\$ 22.00
1751(j)(1)	Submission of training course for certificate of conveniences	136.00	143.00
1751.1(a)	Certification as a preclicensing or continuing education provider	55.00	58.00
1751.1(b)	Renewal as a provider	55.00	58.00
1751.1(c)	Certification of a preclicensing course	55.00	58.00
1751.1(d)	Renewal of a preclicensing course	28.00	29.00
1751.1(e)	Certification of a continuing education course	28.00	29.00
1751.1(f)	Renewal of a continuing education course	11.00	12.00
1751(j)(2)	To qualify certificate of convenience holders	73.00	77.00
1751(h)	Approval of fictitious or other name	40.00	42.00
1751(k)	Filing producers license bond	21.00	22.00
1751(l)	Duplicate License	21.00	22.00
1751(m)	Clearance letters	21.00	22.00
1751(c)	First amendment to application	11.00	12.00
1751(c)	Subsequent amendments	21.00	22.00
1751(b)	To delete name from license	21.00	22.00
1751(a)	To register change in partnership	same as 1 year license fee herein	same as 1 year license fee herein
1759.10	Administrator, per year	62.00	65.00
	Non-resident fees under retaliatory provision where discrimination is involved	may be higher than listed herein	may be higher than listed herein
1751(g)	Certificate of convenience	26.00	27.00
1718(c)	License renewal delinquent fee	one year fee for respective license	one year fee for respective license
12280.2	Motor club agent	62.00	65.00
1751(c)	First amendment to application	11.00	12.00
1751(c)	Subsequent amendments	21.00	22.00
LICENSE EXAMINATION			
1811(d)	Bail, first examination	\$ 42.00	\$ 44.00
1811(d)	Bail, first re-examination	42.00	44.00
1842	Life and disability insurance analyst	103.00	108.00
1751(d),(e),(f)	Fire and casualty, life agent first examination or re-examination	35.00	37.00
12973.5(b)	Miscellaneous application for exams	52.00	55.00
FILING FOR BROKERS/AGENTS CERTIFICATE			
859(a)	Brokers (headquarters)	\$ 209.00	\$ 219.00
859(a)	Brokers (each additional location)	101.00	106.00
859(b)	Agents	101.00	106.00
12973(a)	Certificate of license status printed form	15.00	16.00
CERTIFICATE OF AUTHORITY			
10493(d)	Benefit and relief association (certification of exemption)	\$ 1,246.00	\$ 1,308.00
5051	County mutual fire insurer (declaration of intent to incorporate)	5,194.00	5,454.00
11401	Firefighters police officers, or peace officers benefit and relief associations	1,038.00	1,090.00
11090	Fraternal benefit society	5,194.00	5,454.00
11520.5	Grants and annuities society	3,116.00	3,272.00
12161	Motor club	3,636.00	3,818.00
705.1	Mutual insurer	3,116.00	3,272.00
705.1	Reciprocal insurer	3,116.00	3,272.00

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705.1	Stock insurer	3,116.00	3,272.00
10113.2(b)(1)	Viatical settlements application fee	3,116.00	3,272.00
742.39	Multiple employer welfare arrangement (MEWA) certificate of compliance application	3,850.00	4,043.00
12105	Certificate of authority for financial guaranty insurance	5,500.00	5,775.00
AMENDED CERTIFICATE OF AUTHORITY			
705	For each amendment to certificate of authority	\$ 101.00	\$ 106.00
709.5(d)	Redomestication application to or from California	4,675.00	4,909.00
12105	Amended certificate of authority for financial guaranty insurance	5,500.00	5,775.00
11520.5(d)(1)	Grants and annuities society name change	209.00	219.00
LICENSE			
705.1 & 12743	Home protection	\$ 3,116.00	\$ 3,272.00
12389(a)(3)	Underwritten title company	625.00	656.00
11751	Workers compensation rating organization license	165.00	173.00
AMENDED LICENSES			
12389(a)(3)	Underwritten title company license each additional county	\$ 364.00	\$ 382.00
12389(d)	Underwritten title company name change	209.00	219.00
705 & 12743	Home protection name change	101.00	106.00
NAME APPROVAL/CHANGE			
881	All except grants and annuities societies, and joint underwriters	\$ 101.00	\$ 106.00
882	Joint underwriters name approval	52.00	55.00
884	Joint underwriters name renewal	18.00	19.00
WITHDRAWAL OF CERTIFICATE OF AUTHORITY HOLDER			
1076	County mutual fire insurer, fraternal benefit society, mutual, stock, reciprocal	\$ 1,038.00	\$ 1,090.00
11520.5(d)(3)	Grants and annuities society	625.00	656.00
AMENDMENTS TO ARTICLES OF INCORPORATION			
714	All except grants and annuities and motor clubs	\$ 52.00	\$ 55.00
11520.5(d)(2)	Grants and annuities societies	156.00	164.00
12168	Motor clubs (amendments, if any, to be filed annually)	35.00	37.00
12973.9 Title 10, Ch. 5 CCR Sec. 2202(a)(15)	Fraternals	50.00	53.00
AMENDMENTS TO BY-LAWS			
12168	Motor club (amendments, if any, to be filed annually)	\$ 35.00	\$ 37.00
12973.9 Title 10, Ch. 5 CCR Sec. 2202(a)(15)	Fraternals	50.00	53.00

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RENEWAL FEE CERTIFICATES OF AUTHORITY/LICENSES			
705	County mutual fire insurer	\$ 311.00	\$ 327.00
11090	Fraternal benefit society	311.00	327.00
11520.5	Grants and annuities society	101.00	106.00
705	Mutual insurer	311.00	327.00
12162(c)	Motor club	311.00	327.00
12166	Motor club	150.00	158.00
705	Stock insurer, including title insurer	311.00	327.00
12416	Title insurer (additional fee)	727.00	763.00
12416	Underwritten title company less than 50,000 documents filed	209.00	219.00
12416	Underwritten title company over 50,000 but less than 100,000 documents filed	264.00	277.00
12416	Underwritten title company over 100,000 documents filed	416.00	437.00
10113.2(b)(3)	Viatical settlements annual license renewal fee	195.00	205.00
742.39	MEWA certificate of compliance renewal	311.00	327.00
11751.25	Workers compensation rating organization annual license fee	110.00	116.00
ANNUAL STATEMENTS AND OTHER REPORTS			
900.5 & 10970	Filing annual statement (except fraternal benefit society)	\$ 309.00	\$ 324.00
12389(a)(4)	Filing audit report (underwritten title companies)	552.00	580.00
12389(a)(4)	Failure to file audit report on time (underwritten title companies)	209.00	219.00
LATE FILING FEES			
924 & 10970	Late filing fee (except fraternal benefit society)	519.00	545.00
924	Additional late filing fee after first month (per month)	625.00	656.00
MERGER, REINSURANCE, ASSUMPTION, CONSOLIDATION, TRANSFORMATION			
1011.5	All insurers except domestic/fraternal benefit society	\$ 4,675.00	\$ 4,909.00
11019	Domestic/fraternal benefit society	285.00	299.00
9098	Commissioners consent to reinsurance by fraternal fire insurer	364.00	382.00
7045	Application for approval to transform county mutual fire insurer to general mutual insurer	364.00	382.00
1215.2(e)	Application for holding company tender offers	4,154.00	4,362.00
1215.5(a)	Prior approval-affiliate transaction of	2,078.00	2,182.00
1091	Filing fee for retiring insurer	1,320.00	1,386.00
4093	Mutual insurer merger approval	4,675.00	4,909.00
		5.00 for each signed and sealed or certified copy	5.00 for each signed and sealed or certified copy
7042	Issuance of certificate approving transformation of County mutual fire insurer	228.00	239.00
		6.00 for each signed and sealed or certified copy	6.00 for each signed and sealed or certified copy
HOLDING COMPANIES			
1215.5(b)(1)	Holding company sales transactions	\$ 2,078.00	\$ 2,182.00
1215.5(b)(2)	Holding company loan transactions	2,078.00	2,182.00
1215.5(b)(3)	Holding company reinsurance agreements	2,078.00	2,182.00
1215.5(b)(4)	Holding company management agreements	2,078.00	2,182.00
1215.5(b)(5)	Holding company guarantees	2,078.00	2,182.00
1215.5(b)(6)	Holding company investments	2,078.00	2,182.00
1215.5(b)(7)	Holding company material transactions	2,078.00	2,182.00

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VARIABLE LIFE AND ANNUITIES			
10506.1	Determination of qualification foreign insurer	\$ 625.00	\$ 656.00
10506.1	Determination of qualification domestic insurer	625.00 plus admin. cost in excess of 625.00	656.00 plus admin. cost in excess of 656.00
10506.2(a)	Changes to an application and qualifications	2,078.00	2,182.00
10506.2(b)	Variable life review, examination and analysis of documents	534.00	561.00
10506.2(c)	Variable life and annuities-transfer of assets	2,664.00	2,797.00
NONASSESSABLE POLICIES			
4060	Certificate for domestic mutual	\$ 209.00	\$ 219.00
7015.5	Certificate or amendment for county mutual fire company or amended application	130.00	137.00
1401.5(a)	Perpetual certificate for reciprocal	416.00	437.00
STOCK PERMITS			
857(a)	Original, supplemental or amended application for permit to issue securities	\$ 3,116.00	\$ 3,272.00
857(b)	Application for permit to issue securities for change in rights, preferences, privileges or restrictions	416.00	437.00
857(c)	Application for permit to issue securities, evidencing share dividend or share split	1,038.00	1,090.00
857(d)	Application for any other kind of permit	209.00	219.00
GENERAL FILING			
1601	Appointment of agent for service of process	\$ 52.00	\$ 55.00
12973.9	Motor club initial filings of service contracts (CIC 12250)	240.00	240.00
Title 10, Ch. 5, CCR Sec. 2202(a)(11)			
12168	Amendments to motor club membership certificate, identification card, and service contract	35.00	37.00
1140.5	Proxy filing	101.00	106.00
12931	Service of process upon commissioner	21.00	22.00
12640.2	Mortgage guarantee insurer (pool insurance filing)	831.00	873.00
1599	Trusteed assets certificate	21.00	22.00
1401.5(a)	Application for order pursuant to sec. 1401.5(a)	416.00	437.00
1101(b)(3)	Application for exemption under sec. 1101(b)(3)(i)	519.00	545.00
1113	Permit for multiple insurer operation	78.00	82.00
7042	Certificate approving merger of county mutual fire insurer	11.00	12.00
11620	Approval of amendment of California assigned risk plan	1,038.00	1,090.00
11715(c)	Initial filing and semi-annual review-letter of credit	311.00	327.00
11715(c)	Annual fee for letter of credit	209.00	219.00
11715(d)-after 1/1/03 11691(d)	Filing of a deposit agreement	209.00	219.00
11715(d)-after 1/1/03 11691(d)	Filing of an amended deposit agreement	209.00	219.00
12973	Fee for issuing certificates where fee is not otherwise specified	35.00	37.00
12973	Legal and other certificates	14.00-36.00	15.00-38.00
12973.5	Fees for application for license permit or certificate of authority and qualifying examination where no fee is specified	15.00	16.00
10479.5	Certificate of valuation	33.00 plus cost	35.00 plus cost
12973	Certificate of compliance	15.00	16.00
12973	Certificate pursuant to retaliation	15.00	16.00
132(a)(4)	Filing fee for registering as risk retention group	880.00	924.00

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134(b)	Filing fee for registering as purchasing group	396.00	416.00
1192.8(d)	Approval of investment trust or partnership	5,500.00	5,775.00
1194.85	Approval of real estate investment by domestic insurer	Actual expense not to exceed \$550.00	Actual expense not to exceed \$578.00
1211(e)	Approval of investment in interest rate futures/options	1,100.00	1,155.00
1211.5(e)	Approval of investment in stock index futures/options	5,500.00	5,775.00
742.39	MEWA financial information filing	309.00	324.00
1107.1	Application for approval to deliver consideration other than securities	275.00	289.00
4030	Domestic mutual insurer contract approval	110.00	116.00
10507.1	Investment return assurance approval	275.00 plus admin cost in excess of 275.00	289.00 plus admin cost in excess of 289.00
1104.9(a)(5)	Qualified custodian approval fee	1,650.00	1,733.00
1104.9(d)	Qualified custodial agreement approval fee	550.00	578.00
12640.10(d)	Permission to transact other classes (mortgage guaranty insurance)	1,650.00	1,733.00

LIST OF ELIGIBLE SURPLUS LINE INSURERS

1765.1 Title 10, Sec. 2174.2(a)	Initial application	\$ 4,500.00	\$ 4,725.00
1765.1 Title 10, Sec. 2174.2(b)	Renewal application	2,250.00	2,363.00
1765.1 Title 10, Sec. 2174.2(c)	Financial update	250.00	263.00
1765.1 Title 10, Sec. 2174.2(d)	Non-financial update	35.00	37.00

SECURITIES DEPOSIT AND WITHDRAWAL

940.1 & 11715(d) after 1/1/03 11691(d)	Initial securities deposit	\$ 101.00	\$ 106.00
940.1 & 11715(d) after 1/1/03 11691(d)	Securities withdrawal, substitution or any change	52.00	55.00
949 & 1589	Certificate of deposit	52.00	55.00
1590	Fee for filing certificate	32.00	34.00
11693.5 until 1/1/03*	Failure to file workers' compensation bond or deposit penalty	519.00	545.00
11693.5 until 1/1/03*	Additional penalty after first month (per month)	625.00	656.00

* On 1/1/03 11692.5 provides as follows: (a) if the deposit shortfall is outstanding for less than 31 days, 0.5 percent of the deposit shortfall, but in no event not less than six hundred dollars (\$600). (b) If the deposit shortfall is outstanding for more than 30 days but less than 61 days, an additional late filing fee in the amount of 1 percent of the deposit shortfall, but in no event not less than one thousand two hundred dollars (\$1,200) shall be due. (c) An additional late filing fee of 1.5 percent of the deposit shortfall for every 30-day period thereafter, or fraction thereof, that the amount is outstanding, but in no event shall the total late fee be less than three thousand dollars (\$3,000).

MISCELLANEOUS

12970	Copying microfilm records, per page/frame	\$ 0.54	\$ 0.57
12970.1	Postage or delivery charges	actual	actual
12972	Attaching commissioners seal of office	1.00	1.00
1751.6	Computer listing of producers licensed in California		
	Life & Disability	1,408.00	1,478.00
	Fire & Casualty	1,235.00	1,297.00
	Partial Listing	618.00 plus .01 per name	649.00 plus .01 per name
12973.7	Computer listing of names/addresses of admitted companies	53.00	56.00
11509	Annual statement, hospital services corporation	0.12 for each indi- vidual or family unit covered by a hospital service contract	0.13 for each indi- vidual or family unit covered by a hospital service contract

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12973.6	Dishonor of checks	10.00	10.00
12973.7	Fingerprint handling fee	5.00	5.00
12975	Fee liens	not to exceed 825.00	not to exceed 866.00
12973.7	Documents, transcripts or other materials which may be furnished by the Commissioner where fee is not otherwise specified	Actual expense	Actual expense
12921.2	Copies of public records	Actual expense	Actual expense
SUBPOENA			
GOVERNMENT CODE SECTION	FEE CATEGORY	CURRENT FEE	NEW FEE
68093	Witness Fees (per day)	\$ 35.00	\$ 35.00
	Mileage Fees (per mile both ways)	0.20	0.20
6257	Copies of Public Records	0.54	\$ 0.57
EVIDENCE CODE SECTION	FEE CATEGORY	CURRENT FEE	NEW FEE
1563	Furnish Documents of Outside Photographer	\$ 15.00	\$ 15.00
1563	Production of Documents (Other than above)	4.00 clerical cost per quarter hour	4.00 clerical cost per quarter hour
1563	Copy Records	0.10 per page plus above clerical costs	0.10 per page plus above clerical costs

UNLISTED FEES

This Bulletin supercedes any fees referenced in the California Insurance Code. Any fee set forth in the California Insurance Code but not listed in this Bulletin is nevertheless by this reference made subject to the provisions of this Bulletin, and accordingly is deemed to be increased 5 percent above the amount stated in the code, rounded to the nearest whole dollar.