

California Department of Managed Health Care/Department of Insurance
CA Large Group Historical Data Spreadsheet
For Policies subject to CIC 10181.45 or CHSC 1374.21

| 1. | Reporting Year | 2017 |
|----|--|--|
| 2. | Enter DMHC Health Plan ID/CDI NAIC No. | |
| 3. | Legal Name | Connecticut General Life Insurance Company |
| 4. | DBA | |

California Department of Managed Health Care/Department of Insurance
CA Large Group Historical Data Spreadsheet
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Historical Data - Premium and Claims

| HMO/POS | | Historical Data | | | | |
|---------|---|-----------------|---------------|---------------|---------------|---------------|
| | | 2012 | 2013 | 2014 | 2015 | 2016 |
| 1. | Premium: | | | | | |
| | 1.1 Total premium | 1,000,000,000 | 1,050,000,000 | 1,110,000,000 | 1,180,000,000 | 1,250,000,000 |
| 2. | Claims: | | | | | |
| | 2.1 Claims Incurred and Paid | | | | | |
| | 2.2 Direct claim reserves | | | | | |
| | 2.3 Experience rating refunds (rate credits) paid | | | | | |
| | 2.4 Reserve for experience rating refunds (rate credits) | | | | | |
| | 2.5 Contingent benefit and lawsuit reserves | | | | | |
| | 2.6 Total incurred claims | 0 | 0 | 0 | 0 | 0 |
| 3. | Federal and State Taxes and Licensing or Regulatory Fees | | | | | |
| | 3.1 Federal taxes and assessments | | | | | |
| | 3.1a Federal income taxes deductible from premium in MLR calculations | | | | | |
| | 3.1b Patient Centered Outcomes Research Institute (PCORI) Fee | | | | | |
| | 3.1c Affordable Care Act section 9010 Fee | | | | | |
| | 3.1d Federal Transitional Reinsurance Fee | | | | | |
| | 3.1e Other Federal Taxes and assessments deductible from premium | | | | | |
| | 3.2 State Premium Tax | | | | | |
| | 3.3 State Income Tax | | | | | |
| | 3.4 Regulatory authority licenses and fees | | | | | |
| | 3.5 Other Taxes and Fees | | | | | |
| | 3.6 Total Federal and State Taxes and fees | 0 | 0 | 0 | 0 | 0 |
| 4. | Health Care Quality Improvement Expenses Incurred | | | | | |
| | 4.1 Improve health outcomes | | | | | |
| | 4.2 Activities to prevent hospital readmission | | | | | |
| | 4.3 Improve patient safety and reduce medical errors | | | | | |
| | 4.4 Wellness and health promotion activities | | | | | |
| | 4.5 Health information technology expenses related to improving health care quality | | | | | |
| | 4.6 Allowable Implementation ICD-10 expenses (not to exceed 0.3% of premium) | | | | | |
| | 4.7 Total Incurred Health Care Quality Improvement Expenses | 0 | 0 | 0 | 0 | 0 |
| 5. | Non-Claims Costs | | | | | |
| | 5.1 Administrative Expenses | | | | | |
| | 5.2 Agents and brokers fees and commissions | | | | | |
| | 5.3 Other general and administrative expenses | | | | | |
| | 5.4 Total non-claims costs | 0 | 0 | 0 | 0 | 0 |
| 6. | Other Indicators or information | | | | | |
| | 6.1 Number of covered lives | | | | | |
| | 6.2 Member months | | | | | |

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Historical Data - Premium and Claims

| PPO/EPO | | Historical Data | | | | |
|---------|---|-----------------|-------------|-----------|-------------|-----------|
| | | | | | | |
| | | 2012 | 2013 | 2014 | 2015 | 2016 |
| 1. | Premium: | | | | | |
| 1.1 | Total premium | 534,130,816 | 141,756,143 | 6,802,844 | 6,209,801 | 4,701,367 |
| 2. | Claims: | | | | | |
| 2.1 | Claims Incurred and Paid | 438,574,495 | 113,970,690 | 823,536 | 4,018,136 | 4,632,255 |
| 2.2 | Direct claim reserves | 0 | 0 | 0 | 0 | 0 |
| 2.3 | Experience rating refunds (rate credits) paid | 6,042,796 | 1,164,422 | 714,333 | 0 | 0 |
| 2.4 | Reserve for experience rating refunds (rate credits) | 0 | 0 | 0 | 0 | 358 |
| 2.5 | Contingent benefit and lawsuit reserves | 0 | 0 | 0 | 0 | 0 |
| 2.6 | Total incurred claims | 444,617,291 | 115,135,112 | 1,537,869 | 4,018,136 | 4,632,613 |
| 3. | Federal and State Taxes and Licensing or Regulatory Fees | | | | | |
| 3.1 | Federal taxes and assessments | | | | | |
| 3.1a | Federal income taxes deductible from premium in MLR calculations | 6,575,416 | 3,298,269 | 476,466 | (2,468,882) | (501,212) |
| 3.1b | Patient Centered Outcomes Research Institute (PCORI) Fee | 386,249 | 105,561 | 1,827 | 3,439 | 2,356 |
| 3.1c | Affordable Care Act section 9010 Fee | 0 | 0 | 28,536 | 57,468 | 55,967 |
| 3.1d | Federal Transitional Reinsurance Fee | 0 | 0 | 138,601 | 48,318 | 23,140 |
| 3.1e | Other Federal Taxes and assessments deductible from premium | 1,749,231 | 359,388 | 2,490 | 5,784 | 0 |
| 3.2 | State Premium Tax | 8,575,632 | 1,790,086 | 57,907 | 44,542 | 31,750 |
| 3.3 | State Income Tax | 1,583,192 | 603,907 | 9,564 | 52,617 | 36,833 |
| 3.4 | Regulatory authority licenses and fees | 506 | 704 | (1) | (123) | (368) |
| 3.5 | Other Taxes and Fees | 14,966 | (12,460) | 144 | (497,066) | (4,765) |
| 3.6 | Total Federal and State Taxes and fees | 18,885,192 | 6,145,455 | 715,534 | (2,753,903) | (356,299) |
| 4. | Health Care Quality Improvement Expenses Incurred | | | | | |
| 4.1 | Improve health outcomes | 799,933 | 465,494 | 6,721 | 3,003 | 3,452 |
| 4.2 | Activities to prevent hospital readmission | 234,062 | 37,794 | 2,366 | 590 | 938 |
| 4.3 | Improve patient safety and reduce medical errors | 380,679 | 118,172 | 8,795 | 5,809 | 5,828 |
| 4.4 | Wellness and health promotion activities | 2,963,243 | 47,672 | 4,081 | 1,606 | 1,932 |
| 4.5 | Health information technology expenses related to improving health care quality | 682,033 | 67,066 | 3,817 | 1,530 | 1,577 |
| 4.6 | Allowable Implementation ICD-10 expenses (not to exceed 0.3% of premium) | 101,201 | 15,837 | 393 | 34 | 0 |
| 4.7 | Total Incurred Health Care Quality Improvement Expenses | 5,161,151 | 752,035 | 26,173 | 12,572 | 13,727 |
| 5. | Non-Claims Costs | | | | | |
| 5.1 | Administrative Expenses | 4,405,440 | 477,772 | 3,647 | 16,588 | 5,460 |
| 5.2 | Agents and brokers fees and commissions | 14,471,985 | 2,067,718 | 42,854 | 85,974 | 48,064 |
| 5.3 | Other general and administrative expenses | 49,700,571 | 11,505,608 | 172,083 | 709,847 | 1,093,051 |
| 5.4 | Total non-claims costs | 68,577,996 | 14,051,098 | 218,584 | 812,409 | 1,146,575 |
| 6. | Other Indicators or information | | | | | |
| 6.1 | Number of covered lives | 110,959 | 27,897 | 1,189 | 961 | 828 |
| 6.2 | Member months | 1,320,572 | 403,056 | 7,363 | 12,618 | 10,031 |

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Historical Data - Premium and Claims

| HMO/POS | | Historical Data | | | | |
|---------|---|-----------------|---------------|---------------|---------------|---------------|
| | | | | | | |
| | | 2012 | 2013 | 2014 | 2015 | 2016 |
| 1. | Total Dollars | | | | | |
| 1.1 | Premiums | 1,000,000,000 | 1,050,000,000 | 1,110,000,000 | 1,180,000,000 | 1,250,000,000 |
| 1.2 | Claims Costs | 0 | 0 | 0 | 0 | 0 |
| 1.3 | Administrative Expenses | 0 | 0 | 0 | 0 | 0 |
| 1.4 | Taxes and Fees | 0 | 0 | 0 | 0 | 0 |
| 1.5 | Quality Improvement Expenses | 0 | 0 | 0 | 0 | 0 |
| 2. | PMPM | | | | | |
| 2.1 | Premiums | | | | | |
| 2.2 | Claims Costs | | | | | |
| 2.3 | Administrative Expenses | | | | | |
| 2.4 | Taxes and Fees | | | | | |
| 2.5 | Quality Improvement Expenses | | | | | |
| 3. | Average Change in Rating Components (%) | | | | | |
| 3.1 | Premiums | N/A | | | | |
| 3.2 | Claims Costs | N/A | | | | |
| 3.3 | Administrative Expenses | N/A | | | | |
| 3.4 | Taxes and Fees | N/A | | | | |
| 3.5 | Quality Improvement Expenses | N/A | | | | |

| PPO/EPO | | Historical Data | | | | |
|---------|---|-----------------|-------------|-----------|-------------|-----------|
| | | | | | | |
| | | 2012 | 2013 | 2014 | 2015 | 2016 |
| 1. | Total Dollars | | | | | |
| 1.1 | Premiums | 534,130,816 | 141,756,143 | 6,802,844 | 6,209,801 | 4,701,367 |
| 1.2 | Claims Costs | 444,617,291 | 115,135,112 | 1,537,869 | 4,018,136 | 4,632,613 |
| 1.3 | Administrative Expenses | 68,577,996 | 14,051,098 | 218,584 | 812,409 | 1,146,575 |
| 1.4 | Taxes and Fees | 18,885,192 | 6,145,455 | 715,534 | (2,753,903) | (356,299) |
| 1.5 | Quality Improvement Expenses | 5,161,151 | 752,035 | 26,173 | 12,572 | 13,727 |
| 2. | PMPM | | | | | |
| 2.1 | Premiums | 404 | 352 | 924 | 492 | 469 |
| 2.2 | Claims Costs | 337 | 286 | 209 | 318 | 462 |
| 2.3 | Administrative Expenses | 52 | 35 | 30 | 64 | 114 |
| 2.4 | Taxes and Fees | 14 | 15 | 97 | (218) | (36) |
| 2.5 | Quality Improvement Expenses | 4 | 2 | 4 | 1 | 1 |
| 3. | Average Change in Rating Components (%) | | | | | |
| 3.1 | Premiums | N/A | -13.0% | 162.7% | -46.7% | -4.8% |
| 3.2 | Claims Costs | N/A | -15.2% | -26.9% | 52.5% | 45.0% |
| 3.3 | Administrative Expenses | N/A | -32.9% | -14.8% | 116.9% | 77.5% |
| 3.4 | Taxes and Fees | N/A | 6.6% | 537.4% | -324.6% | -83.7% |
| 3.5 | Quality Improvement Expenses | N/A | -52.3% | 90.5% | -72.0% | 37.3% |