



## CALIFORNIA DEPARTMENT OF INSURANCE 2014 GOVERNING BOARD DIVERSITY SURVEY

If this is a group report for more than one company, please check here:

Group reports: Please complete Part 1, Part 2, and Addendum A (Note: Each company within the group must submit Addendum A.)

GROUP NAME: \_\_\_\_\_  
GROUP NAIC NUMBER: \_\_\_\_\_

**PLEASE READ:** Insert Company Name and NAIC Number here, only if submitting as an individual company. Otherwise, leave the two fields blank.

If this is an individual company submission, please check here:

Individual Company reports: Please complete Part 1.

COMPANY NAME: \_\_\_\_\_  
COMPANY NAIC NUMBER: \_\_\_\_\_

### PART 1:

1. Please provide the name of the public, U.S.-based Board of Directors that represents the ultimate controlling party of the insurance group:  
  
\_\_\_\_\_

2. How many people:

a) Serve on this Board of Directors? \_\_\_\_

b) Of those in "a)," how many identify as:

i. Women \_\_\_\_

ii. Men \_\_\_\_

c) Of those in "a)," how many:

i. Are Disabled Veterans? \_\_\_\_

ii. Publicly Identify as LGBT (Lesbian, Gay, Bisexual, and/or Transgender)? \_\_\_\_

d) Of those in "a)," how many identify as:

i. American Indian? \_\_\_\_

v. Multi-Ethnic? \_\_\_\_

ii. African American? \_\_\_\_

vi. Caucasian? \_\_\_\_

iii. Asian Pacific Islander? \_\_\_\_

vii. Other? \_\_\_\_

iv. Hispanic / Latino? \_\_\_\_

3. Of the board members identified in “b) i; c) i-ii, and d) i-v,” how many:

a) Currently serve as an officer of the corporation? \_\_\_\_

b) Please list all positions currently held by these board members (i.e. chair, vice chair, secretary) on the space provided below.

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c) Currently serve as a chair of a board committee? \_\_\_\_

d) Please list all committees currently chaired by these board members (i.e. nomination committee, governance committee) on the space provided below.

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4. Do governing board members serve under term limits?

Yes       No

**If yes**, please describe the term limits on the space provided below (include the number of years per term and if there is a maximum number of terms one can serve on the board).

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**If no**, please provide the average number of years served by the current governing board: \_\_\_\_

5. Is diversity one of the attributes within the formal evaluation criteria by the nominating committee and by the board when considering prospective board members?

Yes       No

6. Does the mission statement of the governing board and/or any of its committees include the goal of increasing and/or improving diversity amongst its governing board members?

Yes       No

**If yes**, please indicate where this language can be found and share below the mission statement language.

7. Does your group actively engage in outreach efforts to diversify your board?  
*This can include ongoing outreach efforts, as well as outreach efforts as vacancies arise.*  
Yes      No

**If yes,** please share the outreach efforts your company engages in to diversify your governing board.

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8. Please share any additional comments below:

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**PART 2:** *(To be completed if this is a **Group Report**)*

1. Please list below all companies in the group that meet the threshold to report:

COMPANY NAME	COMPANY NAIC NUMBER
a)	
b)	
c)	
d)	
e)	
f)	
g)	
h)	
i)	
j)	
k)	
l)	
m)	
n)	

2. For each company listed above, please fill out and submit **Addendum A** and attach to this report.